

Stakeholder Engagement Update

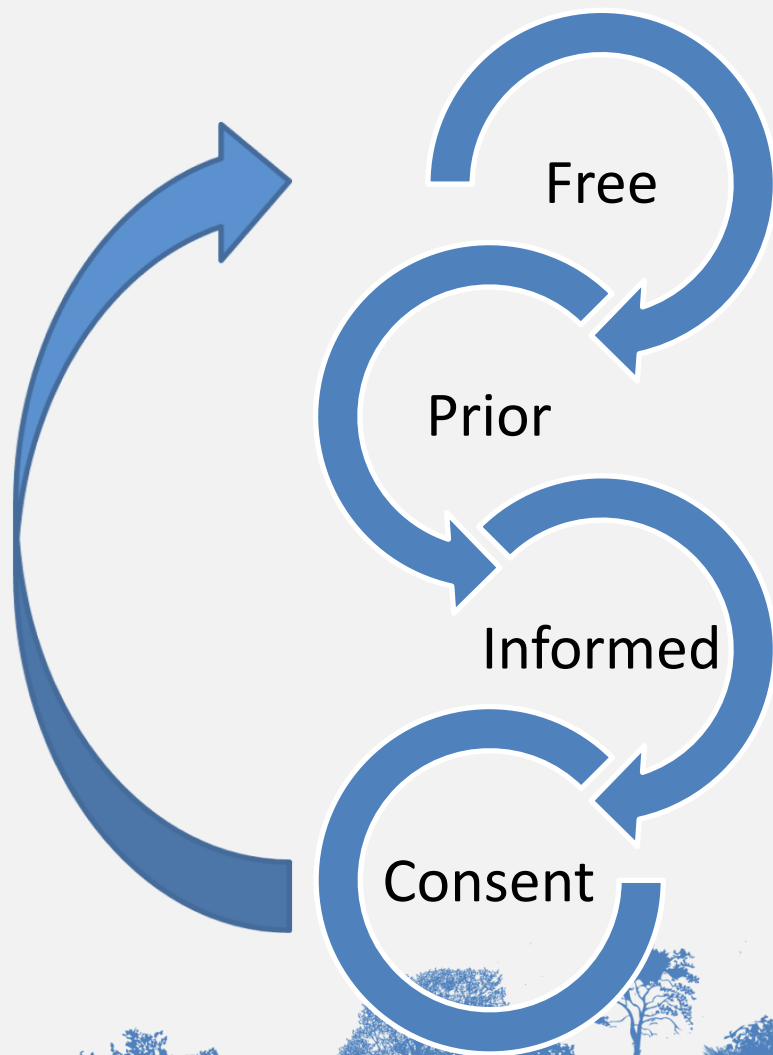
UN-REDD Programme Tenth Policy Board Meeting

June 2013, Lombok, Indonesia



UN-REDD Guidelines on Free, Prior and Informed Consent (FPIC)

What is FPIC?



- From coercion, intimidation or manipulation
- Before any authorization or commencement of activities, with time for consideration
- All relevant information to make a decision
- A collective “Yes” or “No” through a decision-making process of choice

UN-REDD Prioritizes Stakeholder Engagement

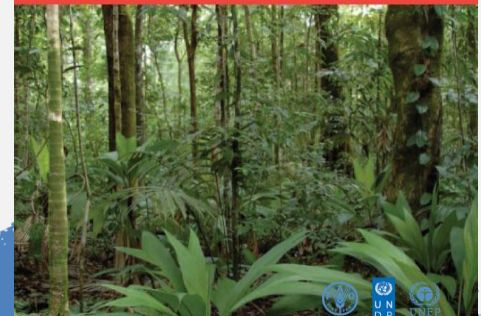
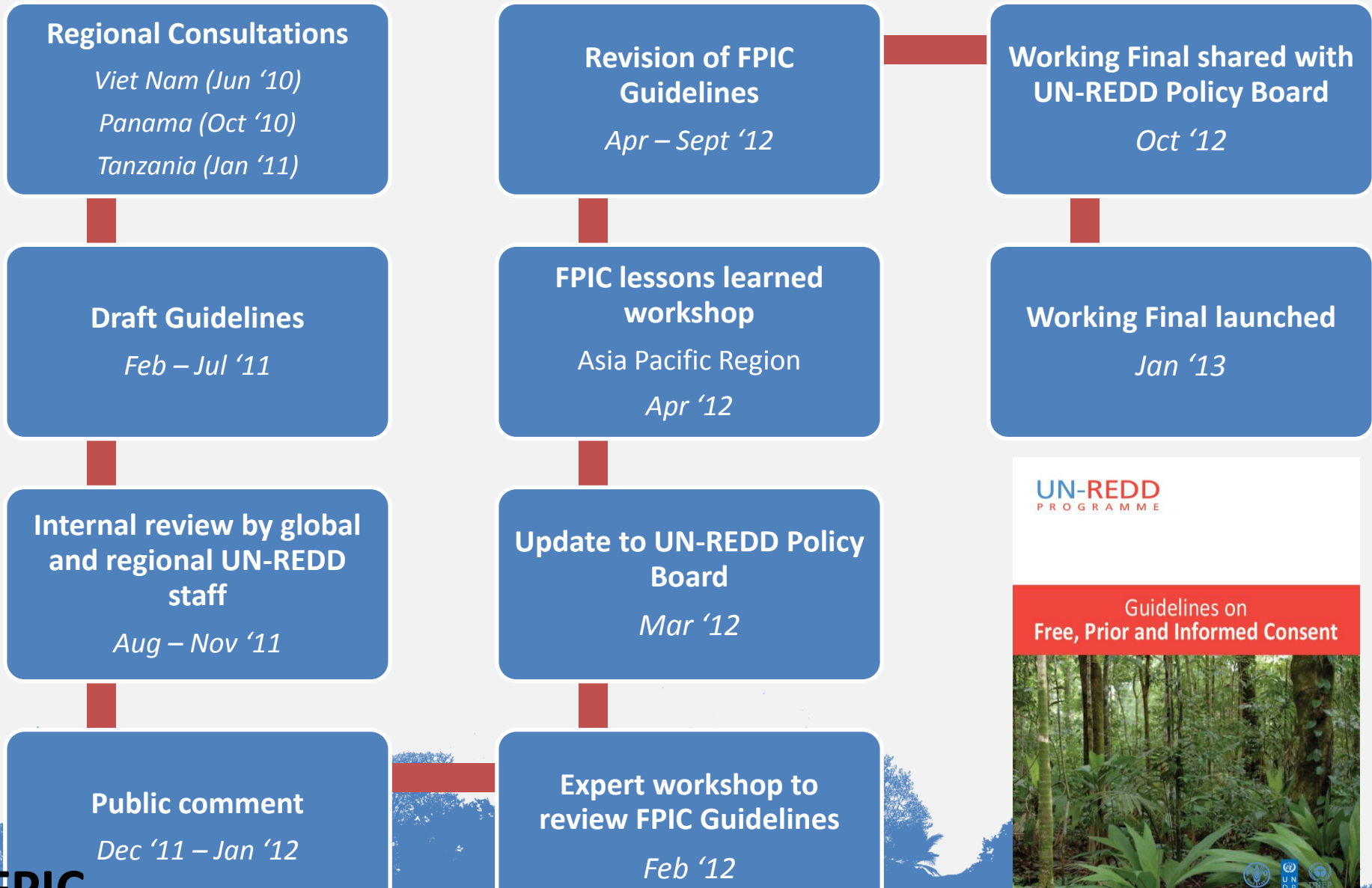


- From Bali to today, full and authentic participation recognized to be crucial for REDD+, including the Cancun Agreements
- UN-REDD Programme is committed to human rights based approach and supporting States to uphold UNDRIP
- Request from UN-REDD Policy Board for guidance
- Demand from stakeholders during extensive consultations
- Led to Guidelines on Stakeholder Engagement and also called for these “FPIC Guidelines”

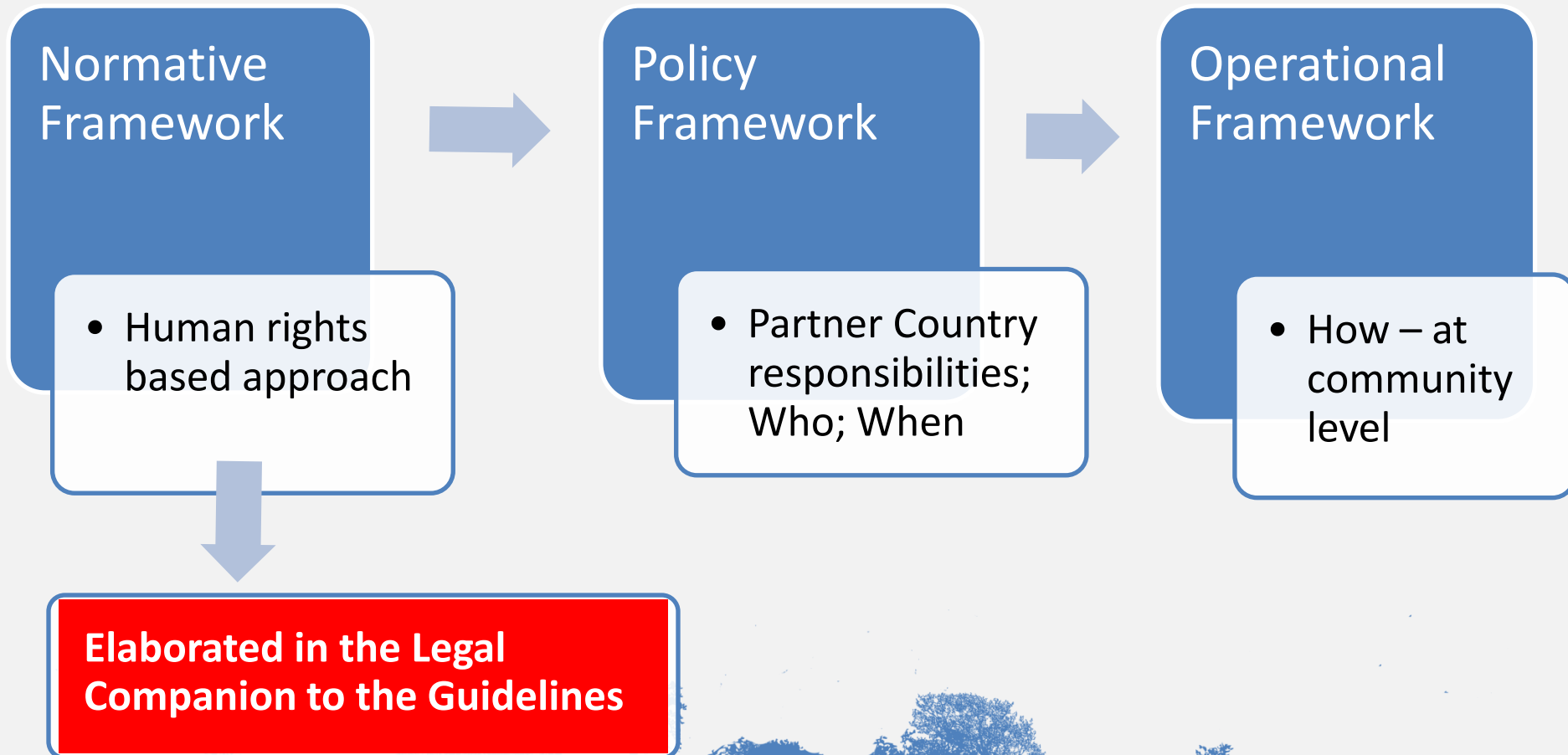
Global Collaboration to Design Guidelines



- More than 100 representatives from **Indigenous Peoples Organizations from 29 countries**, representing hundreds of IPs
- More than 100 representatives from **more than 50 NGOs, International Organizations, Academia**
- Significant contributions from **11 Governments**
- **6 country-led processes to pilot FPIC for REDD+** and/or develop national/subnational FPIC Guidelines



Key Components of the Guidelines



- When does FPIC apply for REDD+?
 - A clear universe exists
 - A less clear universe may also exist
- How to apply FPIC at the national level?
- From who do we seek FPIC from?
 - Indigenous peoples and forest dependent communities
 - Representation at the national and community levels
- **WE ALL NEED TO CONTRIBUTE TO DEVELOPING OUR UNDERSTANDINGS OF THESE AREAS EVEN MORE & TO GIVING LIFE TO THESE GUIDELINES**

- **Ongoing application** (Vietnam, Indonesia, Cambodia, Papua New Guinea, Solomon Islands, Democratic Republic of Congo)
- **Tools** to facilitate application (e.g. HRIA, AP FPIC Repository)
- Regional/global **exchanges on lessons & challenges** (e.g. Asia Pacific Publication)
- **Collaborative efforts** among IP, Government, NGO, Academia and the UN to improve understanding of **how FPIC can be applied** to REDD+ (e.g. FPIC at the national/policy level)
- Seek to **clarify emerging issues** (previous slide)
- Adapt Guidelines for **broader application of FPIC** across UN projects

of the Grievance Approach

- Jointly agreed approach to inform stakeholders on the process to address complaints about UNREDD activities;
- Harmonized steps for screening, assessment and response;
- Designated focal points for sharing, discussing and responding to complaints in each agency, at national, regional and HQ levels;
- Clear timeframe for agencies' focal points to screen and assess the complaint and decide on the best response;
- Steps for deploying resources, staff and external expertise in response to complaints received;
- Inter-agency agreement on external communications about complaints.



Benefits of a Grievance Approach

- More timely, efficient and effective interaction with complainants to address and resolve complaints;
- More effective coordination among country, regional, HQ and Secretariat levels in internal discussion and decision making about complaint response;
- More coherent and effective communications with national and international stakeholders with an interest in UN-REDD and/or in particular complaints;
- Clearer steps for addressing complaints.

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Thank You

Website: <http://www.un-redd.org>



Strengthening and Establishing Country Systems to Address Grievances

A Joint Initiative with FCPF

Prevention / resolution should mostly happen through existing SE processes but, also need to:

- 1. Address complaints relating to Readiness Phase**
- 2. Identify, assess and strengthen** existing spaces for resolving grievances
- 3. Anticipate** disputes/complaints during REDD+ implementation

REDD+ implementation will inevitably run up against conflicts
(land use, land rights, encroaching, illegal logging, lack of consultation, IP rights, benefit sharing etc.)

FCPF + UNREDD Requirements – GM Available to REDD+ stakeholders ideally in early stages of REDD+ Readiness; absolutely in REDD+ implementation (R-PP Template/FCPF Common Approach)

Preferable for a **country to have its own capacity** to address grievances rather than another institution (UNREDD, WB, UNDP etc.)

Functions of a Grievance Mechanism

Access point for impacted/concerned people:

- Well advertised and easily accessible
- Roadmap for timeline and milestones

Grievance log/diary as part of tracking:

- Back-office is critical

Quick check to understand risk and allocate:

- Low, medium, high risk rating
- Exclude/refer?
- Project team, existing GRM, Borrower, Bank?

Options for an agreement seeking process

- Escalation to mediation or more independent review
- Monitoring and follow-up

Credible Design principles:

1. Accessible & Voluntary
2. Proportional to risk
3. Culturally Appropriate
4. Accessible
5. Timebound, Responsive, Efficient
6. Equitable
7. Adaptive Management

Method for Establishing and Strengthening Grievance Mechanisms

Identification of **strengths / gaps** in existing grievance processes at local, provincial and national levels

Identification of **entry points to strengthen** existing processes

Initial plan for more detailed **assessment, design and piloting** of a strengthened mechanism

Ongoing Application & Next Steps

- Preliminary scoping mission based on this guidance; Cambodia, Feb '13
- Workshop on this topic; Paraguay, March '13
- Refine Joint FCPF/UNREDD Guidance Note, based on global consultation and feedback from PB/PC
- Continue to support interested countries based on this guidance