National Programmes Semi-Annual Update SRI LANKA

UN-REDD Programme

22 September 2013

1. National Programme Status

1.1 National Programme Identification

Country: Sri Lanka **Title of programme: Sri Lanka UN-REDD Programme**	**Date of signature[1]: 22 December 2012** **Date of first transfer of funds[2]: 4 April 2013** **End date according to National Programme Document: 31 May 2016** **No-cost extension requested[3]: No**

Implementing partners[4]: FAO (DIM)
Executing Partners: Forest Department of the Ministry of Environment and Renewable Energy
Climate Change Division of the Ministry of Environment and Renewable Energy
Department of Wild Life Conservation

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[1] Last signature on the National Programme Document

[2] As reflected on the MPTF Office Gateway http://mptf.undp.org

[3] If yes, please provide new end date

[4] Those organizations either sub-contracted by the Project Management Unit or those organizations officially identified in the National Programme Document as responsible for implementing a defined aspect of the project. Do not include the participating UN Organizations unless Direct Implementation Modality (DIM) is being applied.

1.2 Monitoring Framework

Outcome 1: **National Consensus Reached on the National REDD+ Programme**	
Expected Results (Output1.1): Broad-based, multi-stakeholder national REDD+ advisory group established	
Indicators:	1 Terms of reference endorsed by the Ministry of Environment and members of the RPMCC appointed 2 Number of coordination workshops and meetings conducted
Baseline: Baselines are a measure of the indicator at the start of the National Programme	<u>Baseline for all indicators:</u> - No advisory group, but draft ToR available
Progress against target (Achievements gained in the reporting period)	
Expected annual Target - Review and finalize draft terms of reference for the National RPMCC (advisory group for the National REDD+ Programme, including the UN-REDD National Programme) - Establish RPMCC , through a ministerial decree - Initiate national coordination workshops - Organize regular meetings of REDD+ stakeholders	**Achievement of Annual Target** N/A

Expected Results (Output1.2): National legal, procedural, institutional and capacity needs arrangements for sectors relevant for REDD+ reviewed (i.e. Agriculture, forestry and other land uses)	
Indicators:	1 Strategic directions available for effective implementation of REDD+ Programme in Sri Lanka
Baseline: Baselines are a measure of the indicator at the start of the National Programme	Baseline for all indicators: - Limited capacity and gaps in implementing National REDD+ Readiness programme - Work initiated in R-PP preparation - Key guiding policies/programmes such as Haritha Lanka Programme, legal documents available for review. - Set of national guidelines for REDD+ programme management not available.
Progress against target (Achievements gained in the reporting period)	
Expected annual Target - Conduct gap analysis and recommend actions (e.g., MRV and Monitoring, Policy and Measures & Stakeholder Engagement) (linked to Output 2.1 & 5.6) - Review institutional and legal arrangements and lessons of the Haritha Lanka programme (including implications of Rio+ 20) and its relevance to REDD+ - Stakeholder mapping (public and private sectors, CSO, forest dependent communities and Indigenous Peoples, etc.)(linked to output 3.2) - Identity capacity needs in national legal, procedural and institutional arrangements for REDD+ - Develop a set of guidelines for management arrangements for the National	N/A

Expected Results (Output1.3): National REDD+ Roadmap prepared	
Indicators:	1 A set of well-structured REDD+ Readiness strategies and activities for those strategies agreed by all relevant stakeholders
Baseline: Baselines are a measure of the indicator at the start of the National Programme	Baseline for all indicators: - No baseline

Progress against target (Achievements gained in the reporting period)	
Expected annual Target - Review and Consolidate all assessment results from output 1.2. - Consultation meeting on preparation of the Roadmap (Linked to Output 1.1- Consultation Meeting) - Prepare a Draft National REDD+ Roadmap - Invite public comments on the Draft - Finalize the Roadmap through a validation meeting with stakeholders	N/A

Outcome 2: Management Arrangements contributing to the National REDD+ Process	
Expected Results (Output2.1):UN-REDD Programme implementation arrangements established	
Indicators:	1. Level of PMU staffing, and participation status of TFs and TWGs 2 % of annual targets of the programme met 3 Number of multi-stakeholder meetings/workshops held for coordination and capacity building
Baseline: Baselines are a measure of the indicator at the start of the National Programme	Baseline for all indicators: - No PMU, TFs, TWGs or stakeholder networks

Progress against target (Achievements gained in the reporting period)	
Expected annual Target - Establish and operate PMU and effective REDD+ management structure and working arrangements - Establish networks and links between RPMCC, MoE, CCS,DWLC and other related institutions (Private Sector, CBOs, NGOs, Public Sector, INGOs, Academia, etc.) - Establish TFs, TWGs, and Organize regular meetings and workshops and capacity building events (Open to any other relevant groups) - Undertake regular M&E (Including Terminal evaluation US$70,000)	PMU staff recruitment process initiated. Programme Manager, Finance Officer, Administration Officer, Secretary hired.

Expected Results (Output2.2): Capacity Building Action Plan developed for REDD+ (linked to Output 1.2)	
Indicators:	1 A REDD+ management structure, institutional arrangements, and required competencies for institutions (Output 1.2) approved by RPMCC 2 A stakeholder endorsed capacity building action plan
Baseline: Baselines are a measure of the indicator at the start of the National Programme	Baseline for all indicators: - No capacity assessment done

Progress against target (Achievements gained in the reporting period)	
Expected annual Target - Identify an effective REDD+ management structure and working arrangements with key institutions and partners – coordinate and collaborate with other development and national partner activities (e.g., assisted regeneration, fires, invasive species, agriculture, timber trade, production and consumption, etc.) - Develop a Competency Framework for REDD+ in Sri Lanka - Prepare the capacity building Action Plan based on a Capacity Building Needs Assessment (CBNA) for the forest sector in Sri Lanka, including all stakeholder groups	N/A

Outcome 3: Improved Stakeholder Awareness and Effective Engagement	
Expected Results (Output3.1):Strategic communication and consultation plan prepared	
Indicators:	1 A set of well-structured communication strategies and activities for those strategies agreed and approved by the RPMCC 2 REDD+ web site developed
Baseline: Baselines are a measure of the indicator at the start of the National Programme	Baseline for all indicators: - Not REDD+ specific but some communication materials and processes are available

Progress against target (Achievements gained in the reporting period)	
Expected annual Target - Identify target groups (linked to Activity 1.2.3) - Establish communication networks with development partners for increased coordination and collaboration –e.g., linking up with Dayata sevena campaign and Divi-Neguma programme, plantation sector, CBOs, NGOs, civil society groups. - Design and validate communication strategies and plans for target groups in all three national languages for raising awareness, promoting engagement and behavioral changes towards green economy (communication formats, products and feedback/evaluation mechanisms) (linked to Output 3.2, Output 3.4 and Output5.6) - Establish a Trilingual REDD+ website and a media platform, and link with MoE media unit and websites of other stakeholders (Linked to Output 3.2) - Document and disseminate lessons-learnt	N/A

Expected Results (Output3.2): Stakeholder engagement in REDD+ readiness process enhanced (incl. FPIC, the private sector engagement)	
Indicators:	1 Representative stakeholder forums identified/ developed, and contributions of the forums are considered by the PEB/RPMCC in its decision making. 2 National FPIC Guidelines developed and piloted 3 Grievance handling mechanism operational

	Baseline for all indicators:
Baseline: Baselines are a measure of the indicator at the start of the National Programme	- preliminary consultation workshops and processes during R-PP preparation mainly at national level

Progress against target (Achievements gained in the reporting period)	
Expected annual Target - Agree and establish inclusive stakeholder forums linked to the national REDD+ process - Support self-organized regular meetings by the stakeholder forums - Consult with stakeholders and identify a pilot location for demonstrating how FPIC can be applied in a real case. (Linked to Output 4.1) - Pilot FPIC and document lessons (linked to Output 5.6) - Prepare and validate a national FPIC guideline and application toolkit - Design training programs for FPIC application - Review Existing Grievance systems and Establish an appropriate grievance mechanism - Design and implement training on communication and team building for relevant institutions, NGOs and CBOs, indigenous people and local communities, and women private sector and other relevant groups (Linked to Output 3.1) - Design and implement customized communication training for relevant institutions. - Enhancement of equipment and material for communication and extension programmes of the FD and other relevant agencies of cross sectorial significant with regard to REDD+.	N/A

Outcome 4: National REDD+ Strategy and Implementation Framework	
Expected Results (Output4.1): Drivers of deforestation and forest degradation, and legal and policy alignment needs identified	
Indicators:	1 Drivers and causes of deforestation and forest degradation fully identified. 2 Integrated spatial and economic analysis completed 2 Legal and policy gaps identified to be aligned for REDD+ 3 A set of policy recommendations and action plans identified and supported by stakeholders
Baseline: Baselines are a measure of the indicator at the start of the National Programme	<u>Baseline for all indicators</u>: - No assessment of drivers, opportunity costs, or gap analysis on policy and measures - Biodiversity and ecosystems assessments, preliminary consultations during R-PP preparation
Progress against target (Achievements gained in the reporting period)	
Expected annual Target - Identify drivers of D&D (Further confirmation of the initial assessment of drivers – Annex in RPP) - Assess national forest governance systems (linked to Output 5.6) - Assess existing laws and policies to foster policy alignment in the land resources and other relevant sectors (linked to Output 1.2. and 5.6) - Analyze conflicts of interest between development activities and forest conservation and recommend remedial measures (linked to 5.6) - Conduct an integrated special and economic analysis of sectorial development plans and scenarios (e.g. concession, infrastructure, etc.) and potential areas of conflicts (Linked to Output 4.4) - Provide policy recommendations and sectorial action plans for REDD+ (incl. SFM, agriculture	N/A

- and transformational policies toward green economy)
- Validate policy recommendations with stakeholders
- Assess the existing laws and policies to foster policy alignment in the relevant sectors (linked to activity 4.2.2)

Expected Results (Output4.2): Land tenure and use rights clarified towards the benefit sharing of REDD+	
Indicators:	1 A detailed report describing different land tenure patterns in Sri Lanka is available
Baseline: Baselines are a measure of the indicator at the start of the National Programme	Baseline for all indicators: - Unclear land tenure and land rights in rural areas
Progress against target (Achievements gained in the reporting period)	
Expected annual Target - Assess land and forest tenure systems and applicability under REDD+ - Analyze gaps in land ownership and related legislations and policies (including gender issues) (linked to Output 5.6) - Study the meaning and applicability of the concept of 'carbon rights' (linked to Output 5.6) - Consult with stakeholders to promote and develop holistic land-use planning strategies (Linked to Activity 4.1.6) - Clarify land ownership/tenure rights in alienated lands to enable REDD+ activities. .	N/A

Expected Results (Output4.3): Options for addressing deforestation and forest degradation at sub-national level identified	
Indicators:	1 Number of options for addressing drivers of deforestation and forest degradation, stakeholder engagement, technical approaches at sub-national level identified.
Baseline: Baselines are a measure of the indicator at the start of the National Programme	<u>Baseline for all indicators</u>: - Some REDD+ relevant lessons already generated
Progress against target (Achievements gained in the reporting period)	
Expected annual Target - Resolve conflict with local people related to available guidelines/ legal boundaries and clarify forest boundary disputes where necessary. - Review and readdress potential impacts in human/wildlife conflicts through REDD+/SFM activities - Conduct an inventory of reforested areas - Assess opportunity cost of alternate livelihoods/land uses - Assess potential sustainable uses and species to be utilized in enrichment planting in degraded forests - Analyze the status of degraded forests that can be used for assisted regeneration or enrichment planting and provide policy options - Establish an inventory of tree cover outside forests and dynamics of agro-forest gardens (linked to Output 5.2) - potential for private sector engagement in REDD+, including tea and other plantation industries	N/A

- Develop an approach for mainstreaming REDD+ in to Provincial/ District Level Planning Processes - Analyze lessons from participatory models in the forest sector	

Expected Results (Output4.4):Options for equitable and transparent benefit sharing identified	
Indicators:	1 A set of policy recommendations on benefit sharing mechanism is approved by the RPMCC
Baseline: Baselines are a measure of the indicator at the start of the National Programme	Baseline for all indicators: - Some relevant lessons from other sectors
Progress against target (Achievements gained in the reporting period)	
Expected annual Target - Assess existing systems for financial management and distribution (e.g., micro-finance, national pension and healthcare schemes, etc.) - Recommend potential policy options for REDD+ Finance Management and benefit sharing arrangements (linked to Output 5.6) - Validate policy recommendations with stakeholders	N/A

Expected Results (Output4.5):National REDD+ Strategy developed	
Indicators:	1 Officially endorsed National REDD+ strategy available

Baseline: Baselines are a measure of the indicator at the start of the National Programme	Baseline for all indicators: - No REDD+ roadmap, strategic actions identified during RPP preparation
Progress against target (Achievements gained in the reporting period)	
Expected annual Target - A National REDD+ Strategy is fully elaborated. - The Strategy officially is endorsed and implementation plans agreed.	N/A

Outcome 5: Forest monitoring system for REDD+ Activities Provided	
Expected Results (Output5.1): MRV process initiated	
Indicators:	1 A set of technical guidelines/instruction manuals available
Baseline: Baselines are a measure of the indicator at the start of the National Programme	Baseline for all indicators: - No MRV process in Sri Lanka
Progress against target (Achievements gained in the reporting period)	
Expected annual Target - Development of the MRV Action Plan while ensure the sustainability of the Action Plan - Enhance general capacities for various stakeholders involved in monitoring and MRV - Deliver forest sector capacity training on GHG inventory - Rationalize forest definition and establish a forest stratification system - Establish a central database and archiving system including the provision of information	N/A

- on REDD+ safeguards (linked to Output 5.6)
- Harmonization of existing EF and AD data and identifying data gaps
- Develop QA/QC procedures for activity data and emission factors

Expected Results (Output5.2): National forest monitoring systems established	
Indicators:	1 National forest monitoring system available 2 A set of pre-tested technical guidelines/instruction manuals available 3 Technical officers are capable of handing the equipment efficiently and effectively producing required info. 4. Reference forest map developed.
Baseline: Baselines are a measure of the indicator at the start of the National Programme	Baseline for all indicators: - No Satellite Forest Monitoring System in Sri Lanka

Progress against target (Achievements gained in the reporting period)	
Expected annual Target - Specific training on forest cover monitoring(remote sensing, GIS and database management etc) - Collate and populate database with mapping information. - Analyze satellite imagery and provide recommendations for forest monitoring. - Identify and validate parameters for forest monitoring system with stakeholders. - Determine the role of communities in monitoring forest cover change. - Undertake a cost benefit analysis for the forest monitoring system - Develop and operationalize a country-specific forest monitoring system. - Develop and deliver training programmes on data interpretation for monitoring systems (as part of the collaboration between FAO and INPE) - Calibration and field data collection - Support ongoing land use mapping activities with high level details of forest land	N/A

Expected Results (Output5.3):National forest inventory designed	
Indicators:	1 National Forestry Inventory is designed, field inventory manuals are developed and adequate technical tools are developed to assess emission factors.
Baseline: Baselines are a measure of the indicator at the start of the National Programme	Baseline for all indicators: No existing national forest inventory to provide emission factors

Progress against target (Achievements gained in the reporting period)	
Expected annual Target - Design the national forest inventory (incl. field manual) (linked with Output 5.6) - Specific training on forest inventory - Develop a tree species and forestry database - ollate, populate the database and harmonize the data on forest inventories (incl. allometric equations, wood density, and conversion factors) - Specific training on allometric equations, wood density, and conversion factors, soils and litter carbon stock assessment, etc. (incl. field training). - 5.3.6 Undertake national consultations for parameters to be included in NFI - Validate NFI with stakeholders - Develop emission factors for REDD+-related activities based on existing data - Carry out field training programmes at demonstration sites test use of activity data and emission factors	N/A

Expected Results (Output5.4): National circumstances considered for REL/RL	
Indicators:	1 Assessment report on national circumstances addressing different scenarios available for future implementation
Baseline: Baselines are a measure of the indicator at the start of the National Programme	<u>Baseline for all indicators</u>: - National circumstances analysis is not adapted for REDD+

Progress against target (Achievements gained in the reporting period)	
Expected annual Target - Assess Sri Lanka's national circumstances - Assess post conflict impacts on national forest cover, land use planning, rural livelihoods and demographics (in linkage with Activity 4.1.4.) - Test different socio-economic scenarios on the REL/RL through -consultations with local stakeholders to discuss the current thinking and methodologies for modeling future emissions scenarios based on historic emissions	N/A

Expected Results (Output5.5): National REL/RL tested	
Indicators:	1 Nationally agreed REL/RL available
Baseline: Baselines are a measure of the indicator at the start of the National Programme	<u>Baseline for all indicators</u>: - No REL/RL exist in Sri Lanka
Progress against target (Achievements gained in the reporting period)	
Expected annual Target - Collate and Harmonize past forest cover map and other land use data - Develop national capacities in REL/RL, GIS - Test REL/RL	N/A

Expected Results (Output5.6):Framework for social and environmental risk mitigation and potential multiple benefit enhancement designed	
Indicators:	1 A set of pre-tested Nationally appropriate safeguards are endorsed and used by the national REDD+ programme.
Baseline: Baselines are a measure of the indicator at the start of the National Programme	Baseline for all indicators: - No safeguards in place
Progress against target (Achievements gained in the reporting period)	
Expected annual Target - Identify the scope of social and environmental safe guards (Objectives, settings, etc) and conduct policies , laws and regulations (PLRs) gap analysis (Linked to Outputs 1.2 & 4.2) - Identify and agree on nationally appropriate REDD+ safeguards and indicators - Develop information gathering methodologies(consultations, secondary data, statistics and survey) and change monitoring approach through demonstration activities - Provide information on risks of displacement and reversal for demonstration site - Collect and analyze information on safeguards using the identified methodologies and approach to identify risks and potential multiple benefits, - Consultation based on analysis results to identify risk mitigation and benefit enhancement measures (linked to Output 4.1) - Link safeguards information into the central database and archiving system under Output 5.1 -	N/A

3.2 Financial Information

Programme Outcome (Reference: National Programme Document)	Part. UN Org.	Approved Budget/Amount Transferred (USD) A	Cumulative Expenditures as of 30 June 2013			
			Committments B	Disbursement C	Total Expenditures (B+C) D	% Delivery (total expenditure/Budget) D/A
Outcome 1	FAO	138000				
	UNDP	75000				
	UNEP	0				
Sub-total Outcome 1		**213000**				
Outcome 2	FAO	211000	33,016	17,332	50,348	
	UNDP	20000				
	UNEP	0				
Sub-total Outcome 2		**231000**				
Outcome 3	FAO	0				
	UNDP	92000				
	UNEP	122000				
Sub-total Outcome 3		**214000**				
Outcome 4	FAO	117000				
	UNDP	203000				
	UNEP	0				
Sub-total Outcome 4		**320000**				
Outcome 5	FAO	431500				
	UNDP	12000				
	UNEP	8000				
Sub-total Outcome 5		**451500**				
Total Programme cost per Agency (all outcomes):	FAO	**897500**	**33,016**	**17,332**	**50,348**	
	UNDP	**402000**				

	UNEP	**130000**				
	FAO	62825		1,213	1,213	
Total Indirect Support Cost (7%)	UNDP	28140				
	UNEP	9100				
Grand Total (total Programme + indirect support cost)		**1529565**	**33,016**	**18,545**	**51,561**	

2. National Programme Progress

2.1 Narrative on Progress, Difficulties and Contingency Measures

2.1.1 **Please provide a brief overall assessment of the extent to which the National Programme is progressing in relation to expected outcomes and outputs and the opportunities and challenges. Please provide examples if relevant (300 words).**

The Government Counterpart, the Ministry of Environment and Renewable Energy, endorsed the Sri Lanka UN-REDD National Programme officially, in December 2012. Upon approval and releasing of budgets, the project inception workshop was conducted from 4-7 June 2013, in two sessions. The first session was an internal workshop organized on 4 - 5 June 2013, during which the project implementing partners reviewed and agreed on the major components of the project such as results framework, monitoring framework, risk log and implementation arrangements.

The second session was the official launch of the Programme and validation workshop with national stakeholders, which was conducted on 7 June 2013.

2.1.2 **Please provide a brief overall assessment of any measures taken to ensure the sustainability of the National Programme results during the reporting period. Please provide examples if relevant. (200 words)**

The Inception Workshop contributed to participants' awareness and understanding of the concept of the UN-REDD Programme in general and REDD+ in particular. The participation of the members of the Indigenous Community at the launch added value to the event and this was highlighted as an important step in effective stakeholder engagement.

3. Government Counterpart Information

This section is dedicated to the Government Counterpart to provide their assessment, as well as additional and complementary information to Section 1-2 which are filled out by the participating UN organizations.

Comments by the Government Counterpart:

Representation of different types of stakeholders - indigenous community, community based organizations, NGOs, academics and researchers, donor community, private and public sector organizations and politicians – and their active involvement in the inception workshop indicated their interest and enthusiasm.

4. Other stakeholders (non-government) Information

The aim of this section is to allow non-Government stakeholders to provide their assessment, as well as additional and complementary information to Section 1-3 which are filled out by the three participating UN organizations. Please request a summary from existing stakeholder committees or platforms.

Comments by other stakeholders (non-government):
Very successful inception workshop was held with the participation of wider interest groups of UN-REDD program implementation in Sri Lanka. Almost all the interest groups were participated in the inception workshop and endorsed the program and its activities. First PEB meeting was also held to approve the 2013 activates and the budget allocation for activities to move forward. Stakeholder workshop was held to finalize the selection criteria of the NGO/CSO/CBO representation for the PEB of the project.