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# Support to National REDD+ Action: Global Programme Framework 2011- 2015 Submission Form for Second Budget Revision

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UN-REDD PROGRAMME ELEVENTH  
POLICY BOARD MEETING

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8-10 December 2013  
Geneva, Switzerland

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**To the  
UN-REDD Programme Policy Board**

<b>1. Policy Board Submission</b>	
Policy Board Meeting <input checked="" type="checkbox"/>	Inter-sessional Decision <input type="checkbox"/>
Date of Meeting: 9 – 10 December 2013	Date of Decision:

<b>2. Programme Summary</b> <i>To be completed by the Participating UN Organizations</i>	
From: <i>Participating UN Organizations' Representatives:</i>	Date of Submission: 25 November 2013
FAO: <i>Name:</i> Xiangjun Yao <i>Title:</i> Director, Division of Climate Change, Energy and Tenure	Contact details: <i>Telephone:</i> + 39 06570 53579 <i>Email:</i> Xiangjun.Yao@fao.org
UNDP: <i>Name:</i> Veerle Vandeweerd <i>Title:</i> Director, Environment and Energy Group	Contact details: <i>Telephone:</i> +1 212 9065020 <i>Email:</i> veerle.vandeweerd@undp.org
UNEP: <i>Name:</i> Elizabeth Mrema <i>Title:</i> Deputy Director and Officer-in-Charge Division of Environmental Policy Implementation	Contact details: <i>Telephone:</i> + +254 20 762 4749 <i>Email:</i> Elizabeth.Mrema@unep.org

<p>Proposed programme, if approved, would result in:</p> <p><input type="checkbox"/> New programme/joint programme</p> <p><input checked="" type="checkbox"/> Continuation of previous funding (budget revision to the existing joint programme)</p> <p><input type="checkbox"/> Other</p>	<p>Proposed programme resulted from:</p> <p><input type="checkbox"/> National Authorities initiative within UN-REDD Programme Framework Document</p> <p><input checked="" type="checkbox"/> Participating UN Organizations within UN-REDD Programme Framework Document</p> <p><input type="checkbox"/> Other (explain)</p>
Participating UN Organization(s): FAO, UNDP, UNEP	
Implementing Partner(s):	
Programme <sup>1</sup> Title: <b>Support to National REDD+ Action: UN-REDD Global Programme Framework 2011-2015</b>	
Total Programme Budget Revision for the period of 1 January 2014 – 31 December 2014: <b>US\$ 24,725,978</b>	
Amount requested: <b>US\$ 24,725,978</b>	
Amount and percentage of indirect costs on UN REDD Funds requested: US\$ 1,617,587 (7%)	

<sup>1</sup> The term “programme” is used for projects, programmes and joint programmes.

### 3. Executive Summary

#### Background

At its ninth meeting in Brazzaville, the Republic of the Congo, 26-27 October 2012, the Policy Board approved the revised budget for 2013-2014 of the [“Support to National REDD+ Action – Global Programme Framework 2011-2015”](#) (SNA). It encompassed fully revised outputs, activities and associated budget for years 2 and 3 (2013 – 2014) of the SNA. See [Support to National REDD+ Action: Global Programme Framework 2011-2015 Budget Review 2013-2014](#). At its tenth meeting in Lombok, Indonesia, 25-26 June 2013, the Policy Board approved the revised budget for Outcome 4, related to strengthening capacity of indigenous peoples and civil society in REDD+ and support for Community-Based REDD+ (CBR+). See [Support to National REDD+ Action: Global Programme Framework 2011-2015 Budget Revision of Year 2 \(2013\)](#)

This second SNA budget revision for year 2014 was made possible due to new pledges to the UN-REDD Programme which allow for scaled up support through National Programmes and the SNA; and proposes strengthening activities under all of the Programme’s work areas. The revision refers to the Programme’s constant innovation and adjustment of its delivery to best support countries in their identified REDD+ needs.

#### Revised Budget

The proposed workplan and budget revision for 2014 implementation refers to SNA outcomes 1-8 and associated outputs, with two new outputs formalized. It has been designed with the intent to allow the UN-REDD Programme to meet countries’ emerging needs in their progress towards phase two of REDD+ implementation and the demand for country specific support<sup>2</sup> (through targeted support, including backstopping). Also, as new funds become available the UN-REDD Programme can take steps to meet the level of ambition proposed in its “Strategy 2011-2015” regarding number of countries supported and progress in REDD+ implementation.

The proposed revision seeks to: a) enhance backstopping to national action for countries with National Programmes or receiving targeted support; b) increase the number of countries receiving targeted support in all areas of the Programme; c) support countries willing to conduct needs assessment for technical and financial requirements, with a view to inform the scoping of potential additional support to be provided by the UN-REDD Programme as well other sources; d) strengthen work on private sector engagement and on the economic aspects of deforestation; e) establish a robust knowledge management platform building on lessons learned and South-South cooperation; f) provide for efficient and timely Secretariat services, including meeting Policy Board demands.

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<sup>2</sup> Each of the eight SNA outcomes encompasses activities that can be broadly classified into three categories of support: i) international support functions (ISF); ii) country specific support (targeted support including backstopping); and iii) Secretariat.

**Amount Requested**

The SNA budget revision for year 2014 seeks an increase of the approved budget of 102 per cent for a total budget of US\$ 24,725,978 to be implemented in 2014. Reflecting the greater emphasis on country specific support, it will respond for 64 per cent of the SNA budget after the revision.

The budget per agency and per outcome is presented in Tables 3.a to 3.b.

<b>3.a Budget Summary by UNDG harmonized budget categories - 2014 (US\$)</b>				
<b>Budget Categories</b>	<b>FAO</b>	<b>UNDP</b>	<b>UNEP</b>	<b>TOTAL</b>
Staff and other personnel costs	3,037,815	3,034,234	1,120,000	7,192,049
Supplies, Commodities, Materials	119,355	194,500	106,000	419,855
Equipment, Vehicles and Furniture including Depreciation	126,704	255,000	21,500	403,204
Contractual Services	3,716,193	943,500	1,105,000	5,764,693
Travel	2,006,596	503,285	517,944	3,027,825
Transfers and Grants to Counterparts	-	3,216,870	2,555,918	5,772,788
General Operating and Other Direct Costs	351,477	150,500	26,000	527,977
Programme Cost	9,358,140	8,297,889	5,452,362	23,108,391
Indirect Support costs	655,070	580,852	381,665	1,617,587
<b>Grand Total</b>	<b>10,013,210</b>	<b>8,878,741</b>	<b>5,834,027</b>	<b>24,725,978</b>

**NOTE:** If requested and agreed by the three participating UN organizations, budget allocations per organization may be revised as long as the total budget allocation is not changed.

**3.b. Support to REDD+ National Actions -Global Programme 2014 Budget  
by Outcomes (US\$)**

OUTCOMES	FAO	UNDP	UNEP	Total
1. REDD+ countries have systems and capacities to develop and implement MRV and monitoring	5,665,716	-		5,665,716
2. Nationally owned credible, inclusive national governance systems are developed for REDD+ Implementation	790,970	2,550,702	275,000	3,616,672
3. *National systems for transparent, equitable, credible and accountable management of REDD+ funding are strengthened				-
4. Indigenous peoples, local communities, civil society organizations and other stakeholders participate effectively in national and international REDD+ decision making, strategy development and implementation		1,780,000	1,675,000	3,455,000
5. Safeguards are addressed and respected and multiple benefits of REDD+ are realized	595,637	374,533	833,618	1,803,788
6. Green economy transformation and REDD+ strategies and investments are mutually reinforcing		1,675,000	663,800	2,338,800
7. UN-REDD Programme knowledge is developed, managed, analyzed and shared to support REDD+ efforts at all levels	175,674	1,900,000	1,100,000	3,175,674
8. Timely and effective UN-REDD Programme Secretariat services provided to the UN-REDD partner countries, Policy Board and the UN agencies	2,130,143	17,654	904,944	3,052,741
<b>Total Programme cost</b>	<b>9,358,140</b>	<b>8,297,889</b>	<b>5,452,362</b>	<b>23,108,391</b>
Indirect support costs	655,070	580,852	381,665	1,617,587
<b>Grand Total</b>	<b>10,013,210</b>	<b>8,878,741</b>	<b>5,834,027</b>	<b>24,725,978</b>

\* Some activities under this outcome are now included under outcome 2 and others have been deferred until 2015.

<b>4. General Principles and Selection Criteria</b> <i>To be completed by the UN-REDD Programme Secretariat</i>		
(a)	Is the programme explicitly linked to the UN-REDD Programme Framework Document?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
(b)	Does it fall within the scope of activities outlined in the UN-REDD Programme Framework Document?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
(c)	Does it promote and ensure national ownership (for national joint programmes)? <i>N/A. Nevertheless, Global Programme activities support national efforts.</i>	Yes <input type="checkbox"/> No <input type="checkbox"/>
(d)	Does/do the organization(s) have the appropriate system(s) to deliver the intervention? (and based on earlier performance and programme delivery)	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
(e)	Is the programme effective, coherent and cost-efficient?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
(f)	Does the programme avoid duplication of and significant overlap with the activities of other actors?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
(g)	Are the proposed deliverables and responsibilities of the participating UN Organizations in-line with the expected roles as set out in the Framework Document?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
(h)	Does it build on existing capacities, strengths and experience?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
(i)	Does it promote consultation, participation and partnerships agreed with existing coordination mechanisms?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
(j)	Is the planned programme disbursement rate realistic?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>

<b>5. Administrative Review</b> <i>To be completed by the UN-REDD Programme Secretariat</i>		
(a)	Does the programme document comply with format? <i>(including cover page, results framework, etc.)</i>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
(b)	Is the budget in compliance with required categories?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
(c)	Is the Indirect Support Cost within the approved rate?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
(d)	Is the Programme Summary completed? <i>(for posting on website)</i>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
(e)	Is the Progress Report included? <i>(for supplementary funding only)</i> The last <i>annual Progress Report on Activities Implemented under the UN-REDD Programme Fund</i> is available at: <a href="http://www.unredd.net/index.php?option=com_docman&amp;task=doc_download&amp;gid=10386&amp;Itemid=53">www.unredd.net/index.php?option=com_docman&amp;task=doc_download&amp;gid=10386&amp;Itemid=53</a>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>



<b>6. General Criteria for Prioritizing</b> <i>To be completed by the UN-REDD Programme Secretariat</i>		
(a)	Must be in line with the UN-REDD Programme MPTF TOR	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
(b)	Need to address high priority activities that have significant impact, and by nature must address timing imperatives and considerations.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
<input type="checkbox"/> <i>Overall review of programme submission</i>		

**7. Decision of Policy Board**

Decision of the UN-REDD Programme Policy Board:

- Approved for an additional Funding Allocation of **US\$ 24,725,978**
- Approved with modification/condition
- Deferred/returned with comments for further consideration
- Rejected

**Comments/Justification**

***Salisu Dahiru***

National Coordinator REDD+ Federal Ministry of Environment, Nigeria

**Co-Chair, UN-REDD Programme Policy Board**

\_\_\_\_\_  
**Signature**

*Date:* \_\_\_\_\_

**Veerle Vandeweerd**

Director, Environment and Energy Group Bureau for Development Policy, UNDP

**Co-Chair, UN-REDD Programme Policy Board**

\_\_\_\_\_  
**Signature**

*Date:* \_\_\_\_\_

**8. Administrative Agent Review**

*To be completed by the Administrative Agent (MPTF Office, UNDP)*

**Action taken by the Administrative Agent: MPTF Office, UNDP**

- Programme consistent with provisions of the UN-REDD Programme MPTF Memorandum of Understanding and Standard Administrative Arrangements with donors.

**Administrative Agent:**

**Yannick Glemarec, Executive Coordinator, Multi -Partner Trust Fund Office, UNDP**

**Signature** \_\_\_\_\_

**Date:** \_\_\_\_\_

**Annex 1: Standard Joint Programme Budget (US\$)**

CATEGORY	ITEM DESCRIPTION	UNIT COST	NUMBER OF UNITS	AMOUNT**
1. Staff and other personnel costs	-	-	-	7,192,049
2. Supplies, Commodities, Materials				419,855
3. Equipment, Vehicles and furniture including Depreciation				403,204
4. Contractual Services				5,764,693
5. Travel				3,027,825
6. Transfers and Grants to Counterparts				5,772,788
7. General Operating and Other Direct Costs				527,977
Total Programme Costs				23,108,391
Indirect Support costs***				1,617,587
<b>GRAND TOTAL**</b>				<b>24,725,978</b>

\*\* The AA requires only completion of 'AMOUNT,' 'Total Programme Costs,' Indirect Support Costs,' and 'GRAND TOTAL.' The Steering Committee may require additional details which can be included in this budget.

\*\*\* Indirect support cost should be in line with the rate or range specified in the Fund TOR (or Joint Programme Document) and MOU and SAA for the particular JP. Indirect costs of the Participating Organizations recovered through programme support costs is 7 per cent.

All other costs incurred by each participating UN Organization in carrying out the activities for which it is responsible under the Fund will be recovered as direct costs, in accordance with the UN General Assembly resolution 62/209 (2008 Triennial Comprehensive Policy Review principle of full cost recovery).

Note: This budget format needs to be submitted for each Participating Organization's budget allocation within a National Joint Programme, in addition to the total budget for the entire Joint Programme.