

National Programme Submission Form – The Philippines

UN-REDD PROGRAMME 5TH POLICY BOARD
MEETING

4-5 November 2010
Washington D.C., USA



National Joint Programme (NJP)

Submission Form to the UN-REDD Programme Policy Board

1. Policy Board Submission

Policy Board Meeting <input checked="" type="checkbox"/> No. 5	Inter-sessional Meeting <input type="checkbox"/>
Date of Meeting: 4-5 November, 2010	Date of Inter-sessional Decision:

2. National Joint Programme Summary

Details of National Joint Programme

Country	The Philippines
Programme ¹ Title	UN-REDD Programme-The Philippines
Implementing Partner(s) ²	Department of Environment and Natural Resources Republic of the Philippines

Details of Participating UN Organizations' Representatives

UN Resident Coordinator: <i>Name:</i> Jacqueline Badcock	Contact details <i>Telephone:</i> +632 9010100 <i>Email:</i> jacqui.badcock@undp.org
FAO: <i>Name:</i> Kayuzuki Tdutumi <i>Title:</i> FAO Representative	Contact details: <i>Telephone:</i> +632 9010100 <i>Email:</i> FAO-PHL@field.fao.org
UNDP: <i>Name:</i> Renaud Meyer <i>Title:</i> UNDP Country Director	Contact details: <i>Telephone:</i> +632 9010100 <i>Email:</i> meyer@undp.org
UNEP: <i>Name:</i> Angela Cropper <i>Title:</i> UNEP Deputy Executive Director	Contact details: <i>Telephone:</i> +254 20 762 4020 <i>Email:</i> angela.cropper@unep.org

Type of National Joint Programme

Full NJP:	Initial NJP
<input type="checkbox"/> New Full NJP	<input checked="" type="checkbox"/> New Initial NJP
<input type="checkbox"/> Continuation from an Initial NJP	<input type="checkbox"/> Continuation from previous funding
<input type="checkbox"/> Other (explain)	<input type="checkbox"/> Other (explain)

¹ The term "programme" is used for projects, programmes and joint programmes.

² Refers to National counterparts. List the lead entity first.

3. Executive Summary

The total area of forestlands in the Philippines is 15.8 million hectares, or 53% of the total land area. Of this, 15.05 million hectares have been classified and the rest remain unclassified. As of 2003, the total forest cover of the country is 7.2 million hectares or 24% of the total land area. Forests comprise open, closed, mangroves and plantations.

The combined effects of indiscriminate logging, inadequate forest protection, expansion of upland agriculture, fires, pests and diseases, and unplanned land conversion are cited as the main causes of forest depletion. The degradation of the upland environment has resulted in low productivity, underutilized land and slow diversification in the agricultural sector and reduced forest product flows which have increased poverty and reduced earnings.

This initial national programme is a one year undertaking which aims to develop the Philippines REDD readiness by putting in place the enabling environment. Specifically, it aims to achieve the over-all objective of is *“to increase capacity of forestland, protected areas and ancestral domains managers & support groups to implement REDD+ projects and activities.”* It is part of a comprehensive process through which the Philippines will become REDD+ ready; additional funding will be secured from bilateral and multi-lateral funding sources, including through a Full UN-REDD Programme, should additional funds become available to the UN-REDD Programme. The Initial National Programme has the following outcomes and corresponding outputs:

Outcome 1: REDD+ readiness support by effective, inclusive and participatory management process. Outputs are:

Output 1.1: Strong commitment on REDD+ from key stakeholders at the national and local level gained;

Output 1.2: Awareness of key stakeholders on REDD+ enhanced;

Output 1.3: Multi- stakeholder coordinative mechanism for REDD+ established.

Output 1.4: National REDD+ capacity programme developed;

Outcome 2: Systematic and structural approach to REDD+ readiness identified through concrete studies of options and inclusive consultation. Outputs are:

Output 2.1 Approach on REDD+ social and environmental safeguards developed;

Outcome 3: Capacity to establish reference baselines increased. Outputs are:

Output 3.1: Harmonized methodology for reference baselines for selected REDD-able sites established;

Output 3.2: A national MRV approach established.

The project will address national priorities of poverty alleviation, environmental protection and management and adapting to climate change impacts while contributing to reduced greenhouse gas emissions globally. The direct beneficiaries are the Philippines’ forest communities, especially indigenous peoples. The project will be implemented by the Forest Management Bureau of the Department of Environment and Natural Resources and its partners, primarily CoDE REDD civil society organizations, supported by the following UN organizations also comprising the UN REDD partnership: Food and Agriculture Organization (FAO), the United Nations Development Programme (UNDP) and the United Nations Environment Programme (UNEP).

4. National Programme Budget (UN-REDD Fund Source only)*	
Outcomes	National Total UNDP (\$) Pooled funding on behalf of FAO, UNEP, and UNDP
1. REDD+ readiness support by effective, inclusive and participatory management process	142,290
2. Systematic and structural approach to REDD+ readiness identified through concrete studies of options and inclusive consultation.	50,000
3. Capacity to establish reference baselines increased.	215,000
4. Project management costs	60,000
Subtotal	467,290
Indirect cost	32,710
Grand Total	500,000

NOTES:

- A breakdown of the budget allocations using the UNDG “harmonized input budget categories” must be provided to the UN-REDD Secretariat (for onward transmission to the Administrative Agent) with the signed NJP document. Please see Annex 1.

5. Secretariat Review		
<i>Submission Criteria</i>		
(a)	Is the NJP consistent with the UN-REDD Programme Framework Document?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Unclear <input type="checkbox"/>
(b)	Has the UN Resident Coordinator been involved in submitting the NJP?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Unclear <input type="checkbox"/>
(c)	Is documentation of the in-country validation meeting(s) included?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Unclear <input type="checkbox"/>
(d)	Did the validation include the national government counterpart (or designate)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Unclear <input type="checkbox"/>
(e)	Did the validation include civil society/Indigenous Peoples representation as per the UN-REDD Operational Guidance ³ ?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Unclear <input type="checkbox"/>

³ In this context the representative(s) will be determined in one of the following ways:

i. Self-determined representative(s) meeting the following requirements:

- Selected through a participatory, consultative process
- Having national coverage or networks
- Previous experience working with the Government and UN system
- Demonstrated experience serving as a representative, receiving input from, consulting with, and providing feedback to, a wide scope of civil society/Indigenous Peoples organizations

ii. Representative(s) who participated in a UN-REDD Programme scoping and/or formulation mission and sit(s) on a UN-REDD Programme consultative body established as a result of the mission

iii. Individual(s) recognized as legitimate representative(s) of a national network of civil society and/or Indigenous Peoples organizations (e.g. the GEF Small Grants National Steering Committee or National Forest Programme Steering Committee)

5. Secretariat Review

(f)	Does the NJP comply with the required format (<i>incl., cover page, results framework, etc.</i>)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Unclear <input type="checkbox"/>
(g)	Does the NJP comply with UN-REDD Rules of Procedure and relevant Operational Guidance?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Unclear <input type="checkbox"/>
(h)	Is the required budget allocation provided (see section 3 above)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Unclear <input type="checkbox"/>
(i)	Are the Indirect Support Costs within the approved rate?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Unclear <input type="checkbox"/>
(j)	Is the Programme Summary completed? (<i>for posting on website</i>)	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Unclear <input type="checkbox"/>
(k)	Is the Progress Report included? (<i>for supplementary funding only</i>)	Yes <input type="checkbox"/> No <input type="checkbox"/> Unclear <input type="checkbox"/>

If the answer is 'No' or 'Unclear' to any question, or further explanation is required, please provide here:

This is an Initial National Programme, therefore no validation meeting was held.

The National Programme Document had a miscalculation on the indirect costs. The submission form reflects the indirect costs at the approved rate (7%).

5. Secretariat Review

Review Issues

(l) Ownership of the NJP by government and non-government stakeholders

It is clear from the Initial National Programme Document (iNPD) that the Government of the Philippines has strong ownership of the document and has prepared the proposal with the support of UN agencies in the country.

The Ministry of Environment, Conservation and Meteorology is the Government agency leading the proposed iNPD. The Secretariat recommends that the role of other Government agencies should be defined while implementing the Initial National Programme.

5. Secretariat Review

Review Issues

(m) Level of consultation, participation and engagement

Key partners for REDD+ in the Philippines are mentioned in the document and their complementary initiatives described. The document proposes a participatory design of the National REDD+ strategy through Outcome 1. The proposal was consulted with civil society in country prior submission to the Secretariat.

(n) Programme effectiveness, coherence with country strategies and other relevant initiatives, and cost-efficiency

The proposal is coherent with relevant country initiatives and strategies, and is clearly related to the UN Development Assistance Framework (UNDAF) under development. The Secretariat recommends that strategies from development sectors of the government related to REDD+ are taken into account when implementing the iNPD.

(o) Management of risks and likelihood of success

The document refers to UNDP's Enterprise Risk Management approach, indicating that this approach will be applied to the UN-REDD initial programme in the Philippines.

Other points:

6. Independent Technical Review

(a) Was an independent technical review undertaken?

Yes No

If not, why not?

This is an Initial National Programme; therefore no independent technical review was conducted.

Synthesis of Independent Technical Review

7. Secretariat Response

- Provide comments and request re-submission to a future Policy Board meeting
- Provide comments to be addressed before forwarding to the next immediate Policy Board meeting
- Forward to the Policy Board (with comments if necessary) with a recommendation to fund specific portions or phases (including an inception phase) of the NJP
- Forward to the Policy Board (with comments if necessary) with a recommendation to fund the NJP.

7. Secretariat Response

Explanation of Response:

The proposal by the Philippines is consistent with the UN-REDD Programme Framework document and the Programmes rules of procedure. Its implementation could support the Government on developing the basis of a REDD+ strategy. Thus, the Secretariat forwards the proposal to the Policy Board with a recommendation to approve the funding allocation request.

8. Decision of the UN-REDD Policy Board

Decision of the UN-REDD Programme Policy Board:

- Full NJP approved, as per budget allocation in Section 4
- Initial NJP approved, as per budget allocation in Section 4
- Approved with a revised budget of \$
- Approved with modification/condition
- Deferred/returned with comments for further consideration

Comments:

8. Decision of the UN-REDD Policy Board

Vincent Kasulu
Director of Sustainable Development
Ministry of the Environment, Nature Conservation and Tourism, DRC
Co-Chair, UN-REDD Programme Policy Board

Signature

5 November 2010

Veerle Vandeweerd
Director, Environment and Energy Group, UNDP
Co-Chair, UN-REDD Programme Policy Board

Signature

5 November 2010

9. Administrative Agent Review

Action taken by the Administrative Agent: Multi-Donor Trust Fund Office, Bureau of Management, UNDP

Programme consistent with provisions of the UN-REDD Programme MDTF Memorandum of Understanding and Standard Administrative Arrangements with donors.

Administrative Agent:
Bisrat Aklilu, Executive Co-ordinator, Multi-Donor Trust Funds
Bureau of Management, United Nations Development Programme - MDTF Office

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Signature

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Date

Annex 1: Standard Joint Programme Budget

CATEGORY	ITEM DESCRIPTION	UNIT COST	NUMBER OF UNITS	AMOUNT**
1. Supplies, commodities, equipment and transport				
2. Personnel (staff, consultants and travel)				
3. Training of counterparts				
4. Contracts				
5. Other direct costs				
Total Programme Costs				
Indirect Support costs***				
GRAND TOTAL**				

** The AA requires only completion of 'AMOUNT,' 'Total Programme Costs,' Indirect Support Costs,' and 'GRAND TOTAL.' The Steering Committee may require additional details which can be included in this budget.

*** Indirect support cost should be in line with the rate or range specified in the Fund TOR (or Joint Programme Document) and MOU and SAA for the particular JP. Indirect costs of the Participating Organizations recovered through programme support costs is 7%.

All other costs incurred by each Participating UN Organization in carrying out the activities for which it is responsible under the Fund will be recovered as direct costs, in accordance with the UN General Assembly resolution 62/209 (2008 Triennial Comprehensive Policy Review principle of full cost recovery).

Note: This budget format needs to be submitted for each Participating Organization's budget allocation within a National Joint Programme, in addition to the total budget for the entire Joint Programme.