UN-REDD
PROGRAMME

Submission Form to the Policy Board - Second Budget Revision for the Global Programme

UN-REDD PROGRAMME

17 – 19 March 2010

In accordance with the decision of the Policy Board this document is printed in limited numbers to minimize the environmental impact of the UN-REDD Programme processes and contribute to climate neutrality. Participants are kindly requested to bring their copies to meetings. Most of the UN-REDD Programmes meeting documents are available on the internet at: www.unredd.net.

03/03/2010

UN-REDD
PROGRAMME

The United Nations Collaborative Programme
on Reducing Emissions from Deforestation and
Forest Degradation in Developing Countries

To the
UN-REDD Programme Policy Board

1. Policy Board Submission	
Policy Board Meeting ☒ Note: The budget allocations for the original Global Programme were endorsed by the Policy Board at its first meeting on 10 March 2009. At its second meeting, on 15 June 2009, the board approved a revised budget to incorporate the establishment of the secretariat. This form is a submission for a second budget revision, to meet the increasing needs and to advance action on Measurement Reporting and Verification (MRV) and on engagement of Indigenous Peoples and civil society; as well as to cover the secretariat costs, including organization of Policy Board meetings.	Inter-sessional Decision ☐
Date of Meeting: 17 – 19 March 2010	Date of Decision:

2. Programme Summary *To be completed by the Participating UN Organizations*	
From: *Participating UN Organizations' Representatives:*	Date of Submission: 3 March, 2010
FAO: *Name:* Peter Holmgren *Title:* Director, Environment, Climate Change and Bioenergy Division	Contact details: *Telephone:* +39-06-570-52714 *Email:* Peter.Holmgren@fao.org
UNDP: *Name:* Charles McNeill *Title:* Senior Policy Advisor, Environment and Energy Group	Contact details: *Telephone:* +1-212-906-5960 *Email:* Charles.McNeill@undp.org
UNEP: *Name:* Tim Kasten *Title:* Deputy Director, Division for Environmental Policy Implementation	Contact details: *Telephone:* +254-20-762-4749 *Email:* Tim.Kasten@unep.org

Proposed programme, if approved, would result	Proposed programme resulted from:

in:	
☐ New programme/Joint Programme	☐ National Authorities initiative within UN-REDD Programme Framework Document
☒ Continuation of previous funding (budget revision to the existing joint programme)	☒ Participating UN Organizations within UN-REDD Programme Framework Document
☐ Other	☐ Other (explain)
Participating UN Organization(s): FAO, UNDP, UNEP	
Implementing Partner(s): UNOPS	
Programme[1] Title: UN-REDD Programme – Global Programme (International Support Functions)	
Total Programme Budget revision: **3,763,577 US$**	
Amount requested: **3,763,577 US$**	
Amount and percentage of indirect costs on UN REDD Funds requested: **246,215** $ (7%)	

Budget Summary (UN-REDD Fund Source only), US$

Budget Category	**FAO**	**UNDP**	**UNEP**	**Total**
Personnel	925,680	645,427	588,121	2,159,228
Contracts		354'534	110,000	464,534
Training of Counterparts	118,600	125,000		243,600
Supplies			120,000	120,000
Other direct costs (misc)	15'000	15'000	500,000	530,000
Subtotal	1,059,280	1,139,961	1,318,121	3,517,362
Indirect Support Costs	74,150	79,797	92,268	246,215
Grand Total	**1,133,430**	**1,219,758**	**1,410,389**	**3,763,577**

[1] *The term "programme" is used for projects, programmes and joint programmes.*

3. Narrative summary of programme
Not to exceed 500 words

1. Background

The Global Programme supports the country actions and seeks to provide the international community with confidence and understanding of the policy and technical aspects of a REDD mechanism. The programme design draws from the respective strengths of the partner agencies in line with One-UN objectives. The overall formulation of the programme remains the same as per the first Global Joint Programme document presented at the first Policy Board Meeting (9-10 March 2009, Panama).

2. Purpose of Proposed Second Budget Revision

The main reasons for this budget revision is, based on increasing demand, to strengthen activities related to testing, developing and implementing MRV methodologies and setting up national MRV systems, as well as to promoting stakeholder awareness and engagement in REDD+ activities. In addition, funds are requested for operating the UN-REDD programme Secretariat, including organization of two Policy Board meetings.

Outcome 1: To further support the development of guidance on MRV approaches, the UN-REDD programme plans to:

- Review of the current status of REDD+ monitoring activities in countries in order to enhance and support national coordination on MRV. This will include an analysis of the relationship at both global and national levels between (a) monitoring of co-benefits and (b) greenhouse gases
- Support to organize in-country technical MRV workshops to ensure that capacity building plans to respond to MRV requirement for REDD+
- Provide further technical support to design and development of national monitoring systems and reference emissions levels (RELs) necessary for REDD+ readiness.

Outcome 2: To further support the engagement and participation of Indigenous Peoples' (IP) and Civil Society Organizations' (CSO) representatives in the REDD+ activities, the UN-REDD Programme plans to:

- Finalize the UN-REDD Guidelines on the Engagement of Indigenous Peoples and support their application in countries
  Support the participation of IP and CSO representatives in the UN-REDD Programme meetings and in other important global and regional meetings dealing with participation issues
- Support the UN-REDD programme countries to implement a recourse mechanism
- Facilitate IP & CSO engagement in the formulation and implementation of National Programmes through guidance, contacts with IP & CSO networks, follow up on consultation processes and distribution of consultation reports

Outcome 4: As part of the increased knowledge management, coordination and communication, the UN-REDD Programme Secretariat (output 4.4) plans to carry out the following main activities:

- Organize Policy Board meetings (including providing travel support to member participants from developing countries)
- Develop the UN-REDD programme further by increasing the number of countries and seeking additional funding to support their capacity development toward REDD+
- Provide leadership in strategic planning
- Build partnerships and maintain external relations – enhance collaboration
- Monitor and evaluate the UN-REDD Programme

See the Global Programme Document, Second Revision, for more details (UN-REDD/PB4/4d).

4. Technical Review *(to be completed by the UN-REDD Programme Secretariat on behalf of the Policy Board)*		
Evaluation of Proposal by the Secretariat *n/a*		
General Principles and Selection Criteria		
(a)	Is the programme explicitly linked to the UN-REDD Programme Framework Document?	Yes ☒ No ☐
(b)	Does it fall within the scope of activities outlined in the UN-REDD Programme Framework Document?	Yes ☒ No ☐
(c)	Does it promote and ensure national ownership (for national joint programmes) ? *n/a. Nevertheless, Global Programme activities support national REDD+ readiness efforts.*	Yes ☐ No ☐
(d)	Does(do) the organization(s) have the appropriate system(s) to deliver the intervention? (and based on earlier performance and programme delivery)	Yes ☒ No ☐
(e)	Is the programme effective, coherent, and cost-efficient?	Yes ☒ No ☐
(f)	Does the programme avoid duplication of and significant overlap with the activities of other actors?	Yes ☒ No ☐
(g)	Are the proposed deliverables and responsibilities of the Participating UN Organizations in-line with the expected roles as set out in the Framework Document?	Yes ☒ No ☐
(h)	Does it build on existing capacities, strengths and experience?	Yes ☒ No ☐
(i)	Does it promote consultation, participation and partnerships agreed with existing coordination mechanisms?	Yes ☒ No ☐
(j)	Is the planned programme disbursement rate realistic?	Yes ☒ No ☐
Elaborate: The original Global Joint Programme Document for the International Support Functions was developed in accordance with the technical guidance set out in the Framework Document. However, the need for support on MRV and IP/CSO engagement were underestimated. Furthermore, with the first budget revision, the secretariat costs were budgeted up to June 2010. The proposal for the revision is justified and essential for the delivery of the programme.		

	5. Administrative Review *To be completed by the UN-REDD Programme Secretariat*			
	Review by the Secretariat			
	(a)	Does the programme document comply with format? *(including cover page, results framework, etc.)*	Yes ☒ No ☐	
	(b)	Is the Budget in compliance with required categories?	Yes ☒ No ☐	
	(c)	Is the Indirect Support Cost within the approved rate?	Yes ☒ No ☐	
	(d)	Is the Programme Summary completed? *(for posting on website)*	Yes ☒ No ☐	
	(e)	Is the Progress Report included? *(for supplementary funding only)*	Yes ☒ No ☐	

	The last official progress report of the UN-REDD programme was submitted to the 2nd meeting of the Policy Board in June 2009. It is available at http://www.unredd.net/index.php?option=com_docman&task=doc_download&gid=344&Itemid=53. The interim progress report from 2009 will be available at the 4th meeting of the Policy Board.	

General Criteria for Prioritising		
(a)	Must be in line with the UN-REDD Programme MDTF TOR	Yes ☒ No ☐
(b)	Need to address high priority activities that have significant impact, and by nature must address timing imperatives and considerations.	Yes ☒ No ☐

- *Overall review of programme submission*

The budget revision addresses priority activities, enabling further and immediate support to development of national REDD monitoring, including MRV systems and engagement of Indigenous Peoples and Civil Society Organizations in the REDD work, as well as functioning of the secretariat that services the Policy Board and the UN-REDD programme as a whole.

6. Decision of Policy Board
Decision of the UN-REDD Programme Policy Board: ☐ Approved for a total additional budget of **US$ 3,763,577** ☐ Approved with modification/condition ☐ Deferred/returned with comments for further consideration ☐ Rejected
Comments/Justification
Veerle Vandeweerd ***Director, Environment and Energy Group, UNDP*** **Co-Chair, UN-REDD Programme Policy Board** **Signature:_____ Date: _____** ***Vincent Kasulu*** ***Director,*** ***Sustainable Development, Ministry of Environment,*** ***Nature Conservation and Tourism*** **Co-Chair, UN-REDD Programme Policy Board** **Signature:_____ Date: _____**

7. Administrative Agent Review *To be completed by the Administrative Agent (MTDF Office, UNDP)*
Action taken by the Administrative Agent: MTDF Office, UNDP ☐ Programme consistent with provisions of the UN-REDD Programme MDTF Memorandum of Understanding and Standard Administrative Arrangements with donors.
Administrative Agent: **Bisrat Aklilu, Executive Coordinator, Multi Donor Trust Fund Office, UNDP** **Signature_____ Date:_____**

<u>Annex 1.</u>

Annex 1: Standard Joint Programme Budget (US$)

CATEGORY	ITEM DESCRIPTION	UNIT COST	NUMBER OF UNITS	AMOUNT**
1. Supplies, commodities, equipment and transport	-	-	-	120,000
2. Personnel (staff, consultants and travel)				2,159,228
3. Training of counterparts				243,600
4. Contracts				464,534
5. Other direct costs				530,000
Total Programme Costs				3,517,362
Indirect Support costs***				246,215
GRAND TOTAL**				**3,763,577**

** The AA requires only completion of 'AMOUNT,' 'Total Programme Costs,' Indirect Support Costs,' and 'GRAND TOTAL.' The Steering Committee may require additional details which can be included in this budget.

*** Indirect support cost should be in line with the rate or range specified in the Fund TOR (or Joint Programme Document) and MOU and SAA for the particular JP. Indirect costs of the Participating Organizations recovered through programme support costs is 7%.

All other costs incurred by each Participating UN Organization in carrying out the activities for which it is responsible under the Fund will be recovered as direct costs, in accordance with the UN General Assembly resolution 62/209 (2008 Triennial Comprehensive Policy Review principle of full cost recovery).

Note: This budget format needs to be submitted for each Participating Organization's budget allocation within a National Joint Programme, in addition to the total budget for the entire Joint Programme.