



UN-REDD
PROGRAMME

UN-REDD Social and Environmental Principles & Criteria

Update

UNREDD/PB7/2011/14

Policy Board 7
October 2011





Introduction



- Focus is on process, especially since PB6 and before PB8



- In that process two key components

- Review (July-Aug 2011)
- Response to review (Sept 2011...)



Context



- **UN-REDD Programme 2011-2015 Strategy**

“The key types of activities that the UN-REDD Programme will support include: . . . Developing framework principles and guidelines for safeguarding long-term supply of multiple benefits from forests”

- **Cancun agreement Appendix I:**

“Guidance and safeguards for policy approaches and positive incentives on issues relating to reducing emissions from deforestation and forest degradation in developing countries; and the role of conservation, sustainable management of forests and enhancement of forest carbon stocks in developing countries”



Process to date

- November 2010: “Update on Social and Environmental Principles” submitted to PB 5
- March 2011: Draft P & C “Version 1” submitted to PB 6
- May 2011: Drafting group meeting to review comments from PB and others
- July 2011: Draft P & C “Version 2” released for review by:
 - Policy Board Members and Observers
 - Independent Advisory Group on Rights, Forests and Climate Change
 - Peer review by selected experts
 - And facilitated consultation with DRC, Nigeria, Viet Nam, Tanzania



Process to date (cont.)

- March - Sept 2011: Other outreach, including
 - Presentation at 3 events at UNFCCC, Bonn, June
 - Three CBD regional consultations on biodiversity safeguards
- October 2011: **Version 3 complete.**



Comments on P & C version 2

- 32 sets of comments from diverse sources, including Policy Board and IAG members, NGOs, independent experts, and national consultations

- Many reviewers of all types positive, e.g.

“We find the proposed Draft to be both comprehensive and well written and can only support it becoming an official UN-REDD document.”

“In general, I find that the proposed P&C are comprehensive, well-defined and practical. Congratulations for the excellent job! As a result, I only have a couple of small comments”



Comments on P & C version 2

- There were also a number of concerns, relating to:
 - **Purpose:** purpose of the P & C and the ways in which they would be used not sufficiently clear, and function of the P & C in relation to the Cancun safeguards needed clarification
 - **Content:**
 - Governance
 - Land rights
 - Traditional knowledge
 - Indigenous peoples
 - Direct impacts on non-forest biodiversity
 - **Process:** consultation and review process was not wide enough or sufficiently transparent



Version 3 Response to reviews: Purpose

- Purpose has been clarified
 - Helping UN-REDD Programme ensure that its activities promote social and environmental benefits and reduce risks from REDD+.
 - Some specific uses articulated:
 - as an aid in formulating national REDD+ programmes and initiatives that seek UN-REDD funding
 - in the review of national programmes prior to submission for UN-REDD funding
 - to assess national programme delivery
 - Relationship to Cancun safeguards clarified
- Further clarity on how P&C will be used still needed



Version 3 Response to reviews: Content

- Some principles re-phrased to improve clarity
- Some principles have been re-organised to group issues more effectively
 - Protection of natural forest (P 5) now addresses both direct and indirect impacts of REDD+
 - P7 now focuses on direct and indirect impacts on non-forest systems



Version 3 Response to reviews: Content

- Criteria have been re-phrased and new ones added – now 25 in total , e.g.
 - Principle 1 on governance unpacked with clearer and additional criteria, with explicit mention of aspects of particular recurrence in comments (e.g. access to information, access to justice and grievance mechanism)
 - Principle 2 now includes a criterion on equitable tenure and carbon rights
 - Criteria under Principle 7 address impacts on non-forest ecosystems, including from indirect land use change
- Extensive glossary, with clearer references (& hyperlinks) from P & C text
- Tool to assist implementation being developed



Version 3 Response to reviews: Process

- Transparency enhanced by
 - Specifying review process more clearly
 - Making provision for longer and broader consultation
 - Web posting review comments and responses to them
(http://www.un-redd.org/Multiple_Benefits_SEPC/tabid/54130/Default.aspx)



Version 3 Next steps:

- Version 3 released for review:
[http://www.un-redd.org/Multiple Benefits SEPC/tabid/54130/Default.aspx](http://www.un-redd.org/Multiple_Benefits_SEPC/tabid/54130/Default.aspx)
- Public consultation until end Dec 2011;
- During consultation period release of the companion 'Tool' version 1
- National and other consultation as possible, using REDD+ events and other opportunities
- UN-REDD Workshop early 2012
- Proposed final version submitted to PB 8, March 2012

Thank you for listening!

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