

UN-REDD
PROGRAMME



Report of the Ninth Policy Board Meeting

UN-REDD PROGRAMME

26-27 October 2012

Brazzaville, Republic of the Congo

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Summary of decisions

Session I: Opening and Approval of Agenda

1. The Policy Board adopted the agenda for the Ninth Policy Board with the following amendments:
 - “Process to identify country focal points for the UN-REDD Programme” added under ‘Session VII: Any Other Matters’.
 - The title of the Tier 2 item in Session VI changed to “Discussion on Tier 2 and results of Information Session”.

Session II: Sharing information and progress

2. The Board requested an external evaluation of the UN-REDD Programme be undertaken in 2013. The Secretariat is requested to propose a work-plan and process to the Policy Board inter-sessionally.
3. The Board took note of the Semi-annual report presented by the UN-REDD Secretariat, and of the Funding Framework of the UN-REDD Programme presented by the Multi-Partner Trust Fund (MPTF) Office.
4. The Board welcomed the conclusions and recommendations of the report of the joint UN-REDD and FCPF Country Needs Assessment (CNA).
5. The Board approved the response by the UN-REDD Programme to the CNA and took note of the response by the FCPF.
6. The Board approved the report of the working group on the Roadmap to Review the Global Programme budget, and requested the Secretariat and the participating UN agencies to implement its recommendations.

Session III: Implementation of National Programmes

7. The Board encouraged the Secretariat to further work on analysing means of enhancing implementation of National Programmes, and to prepare a document, to be submitted to the Policy Board for its consideration. The Board also requested the Secretariat to develop a guiding note on operational matters related to National Programmes, for inter-sessional consideration.
8. The Board encouraged countries that are implementing National Programmes to, when reporting to the UN-REDD Programme, include all REDD readiness activities funded by other sources, in addition to the activities funded by UN-REDD.
9. The Board decided that requests for no-cost extensions of National Programmes with a duration of more than three years should be submitted for consideration by the Board inter-sessionally, justifying the reasons for the request, including proposed actions to enhance implementation.
10. The Board welcomed the presentation by Bolivia and the report of the high-level mission, and acknowledged all the documents considered during the session¹. The Board requested Bolivia to prioritize the activities within the approved National Programme document to contribute to Bolivia’s Joint Mitigation and Adaptation Mechanism for the Integral and Sustainable Management of Forests², and implement those with the first tranche of funds already disbursed, in accordance with the rules, procedures and guidelines of the Programme.

¹ High level mission report, Bolivia’s document and annexes, and related letters received by the Secretariat.

² This Mechanism is based on an approach of non commercialization of the environmental functions of forests.

Further, the Board requested that the National Programme budget is revised and adjusted to fit the size of the first tranche, to reflect these priorities, and is circulated inter-sessionally for consideration by the Policy Board. Finally, the Board recommended Bolivia to engage in a constructive dialogue with all relevant stakeholders.

Session IV: International support functions

No decisions.

Session V: Funding Allocation Requests

11. The Board agreed to align the budget cycle with the reporting cycle and the calendar year (1 January – 31 December), and approved a two-month no-cost extension of Year 1 budget to 31 December 2012.
12. The Board approved the two year work plan and budget for years 2 and 3 (2013 and 2014) of the Support to National REDD+ Action – Global Programme Framework 2011-2015 and approved the funding allocation request for Year 2 (1 January - 31 December 2013) as per the submission form.
13. The Board recommended the participating UN agencies to explore possibilities of strengthening support to Civil Society Organizations and Indigenous Peoples under all Support to National REDD+ Action outcomes. Additionally, the Board recommended that if additional funding become available, particular attention be given to stakeholder engagement and related outputs under Outcome 4.
14. The Board requested the Secretariat to improve reporting by PB10, including financial reporting at output level per agency and per categories of support. The Board also requested the Secretariat to develop a results-based monitoring framework, circulate its draft inter-sessionally for comments of the Policy Board and apply the finalized monitoring framework to the Annual Report 2012.
15. The Board requested the Secretariat to provide information on the possibilities of financial reporting by the UN-REDD Programme, including at activity level, and present the cost implications associated to the different levels of reporting (outcome, output and activity level).

Session VI: Strategic and Policy Issues

16. The Board approved the establishment of the Tier 2 modality and the attached Terms of Reference and requested to review the Terms of Reference periodically, as appropriate, as part of the learning process.
17. The Secretariat will provide clarification on the principles, criteria, guidelines and quality assurances procedures referred to in the Tier 2 Terms of Reference, as well as on the level of compliance required.
18. The Board endorsed the association of the Viet Nam and the DRC Tier 2 proposals with the UN-REDD Programme, subject to the Secretariat confirming that both proposals are in accordance with the Tier 2 Terms of Reference.

Session VII: Any other Matters, Decisions, Conclusion and Closing of Meeting

19. The Board approved that starting in 2013, the Policy Board meeting in March be moved to June, whilst the second annual meeting remain in October or November. The suggestion of having only one meeting per year will be considered as part of the Policy Board review.
20. The Board requested the Secretariat to propose an enhanced process to nominate and revise country focal points for the Programme, and to circulate it to the Policy Board for approval.

21. The Board recommended that the Secretariat make every effort to reduce the length of the Policy Board meeting report, and to ensure that it focuses on decisions taken by the Board.
22. The Board recommended that the independent expert tasked to undertake the Policy Board review, assesses and makes proposals to clarify, when relevant, the functions and prerogatives of the Policy Board in relation to all other governing bodies within the overall structure of the UN-REDD Programme, including looking at rules of procedures and the terms of reference for all respective governing bodies.
23. The Board also requested the upcoming Policy Board review to clarify what type of decision items should be decided during Policy Board meetings and what items could be decided inter-sessionally, and requested the Secretariat to prepare options for consideration based upon the results of the Policy Board review.

Introduction

The United Nations Collaborative Programme on Reducing Emissions from Deforestation and Forest Degradation in Developing Countries (UN-REDD Programme) held its Ninth Policy Board meeting on 26-27 October 2012 in Brazzaville, the Republic of the Congo, preceded by the Participants Committee (PC) of the Forest Carbon Partnership Facility (FCPF) in the same location. The meeting was Co-chaired by Ms. Carola Borja, Ministry of the Environment, Government of Ecuador, and Mr. Ibrahim Thiaw, Director, Division of Environmental Policy Implementation, UNEP.

The meeting was attended by 105 participants (see Annex 1). All documents and presentations are available on the UN-REDD Programme [website](#) and [workspace](#).

Session I: Opening and Approval of Agenda

Official welcome by Mr. Dieudonné Koguiyagda, FAO Representative, the Republic of the Congo

On behalf of the UN Resident Coordinator in the Republic of the Congo, Mr. Dieudonné Koguiyagda, FAO Representative in the country, welcomed the Policy Board meeting participants to Brazzaville. Mr. Koguiyagda also expressed appreciation of the commitment made by the Republic of the Congo to REDD+ and for the country's National Programme which is moving into implementation.

Welcome by Co-chairs

Opening the meeting, Mr. Thiaw noted that this is the first meeting after Rio+20 and as such, important that the discussions reflect on what the Rio outcomes mean for the UN-REDD Programme. He also gave special thanks to Mr. Yemi Katerere who served as the Head of the UN-REDD Programme Secretariat for three years until August 2012, and to Ms. Mette Løyche Wilkie who took on the role as Interim Head from that date. Ms. Borja welcomed members and observers to the meeting and both Co-Chairs expressed thanks to the Government of the Republic of the Congo for hosting the meeting in Brazzaville. Ms. Borja highlighted that almost 30 countries alongside Civil Society Organizations, Indigenous Peoples and the private sector were represented at the Board meeting.

Official welcome by H.E. Henri Djombo, Minister of Forestry Economy and Sustainable Development, Republic of the Congo

H.E. Henri Djombo, Minister of Forestry Economy and Sustainable Development, the Republic of the Congo, welcomed the Policy Board members and observers to Brazzaville, and expressed great enthusiasm for the UN-REDD National Programme in the country, now in implementation. He emphasized the importance of concluding the cooperation agreement between the countries of the three tropical forest basins and relevant regional organizations, as recommended in the final declaration of the Summit of Heads of States and Government on the Three Rainforest Basins of the World held in Brazzaville in 2011, and asked everyone present to urge countries that had been present at the summit to sign the agreement. H.E. Djombo also wished the Policy Board a successful meeting.

Review of operational aspects of the meeting

Ms. Borja explained the sharing of work agreed between the Co-chairs in conducting the meeting. On a no-objection basis the Policy Board welcomed France, represented by the *Agence Française de Développement*, as guest observer to the meeting.

Approval of Agenda

The agenda was adopted with minor amendments. See Policy Board **Decision 1**.

Following a request from a Board member to add an agenda item on the recruitment of the Head of the UN-REDD Secretariat, and another on the process to identify UN-REDD country focal points, the Co-Chairs informed the Board that the recruitment process would be included under Session II, Overview of Progress since the Eighth Policy Board Meeting, and the focal points under session VII, Any other Matters, Decisions, Conclusions and Closing of the Meeting.

Session II: Sharing information and progress

Overview of Progress since the Eighth Policy Board Meeting

Ms. Thais Linhares-Juvenal, Senior Officer, UN-REDD Programme Secretariat, presented an update on the key developments since the Eighth Policy Board meeting, as well as a progress update on previous decisions made by the Board. Since the Eighth Policy Board meeting four new countries, the Lao People's Democratic Republic, Malaysia, Morocco and Uganda, have been accepted as members of the UN-REDD Programme, resulting in 46 in total. Two additional National Programmes, Nigeria and the Republic of the Congo, have entered into implementation, bringing the total number to 15. Three countries, the Democratic Republic of the Congo (DRC), Indonesia and Viet Nam, are in the process of closing their National Programmes. 18 countries received targeted support between January and October 2012, through the Support to National REDD+ Action – Global Programme Framework 2011-2015, for a total amount of US\$ 2.4 million.

Ms. Linhares-Juvenal also noted several actions undertaken by the programme management since the Eighth Policy Board meeting with a view to enhance the delivery of the UN-REDD Programme, including: adoption of revised terms of reference for the Secretariat, Management Group and Strategy Group; improved monitoring system; and the establishment of inter-agency Action Teams to address identified bottlenecks in coordination and implementation. Several achievements related to programme communications were also reported, including: redesign of the website, launch of a Policy Brief on Multiple Benefits and REDD+ and the launch of the success stories publication series.

In terms of progress on previous Policy Board decisions, Ms. Linhares-Juvenal informed the Board that the work on the majority of decisions had been completed, exceptions being the policy paper on land tenure issues and the review of the Policy Board structure, which are in progress, although delayed.

Furthermore, Ms. Linhares-Juvenal suggested that as the Programme has been operating for four years, and many changes have taken place, both in the external environment, and within the Programme, an external evaluation be undertaken in 2013, with a view to updating the UN-REDD Strategy 2011-2015.

With regards to the recruitment of the Head of the UN-REDD Programme Secretariat, UNEP provided an update on the administrative arrangements to date.

Feedback from the Policy Board

The Policy Board welcomed the update provided by the Secretariat and expressed appreciation of the progress made since the last Board meeting. See Policy Board **Decision 3**.

The Board endorsed the proposal for an external evaluation of the Programme in 2013 and acknowledged the need of having the UN-REDD Strategy 2011-2015 updated. The Board emphasised the importance of involving Board members early in the external evaluation process and expressed urgency in initiating the process as soon as possible through inter-sessional consultations. The Secretariat was tasked to share a timeline for the evaluation with the Board shortly after the meeting. See Policy Board **Decision 2**.

The Board also requested the co-chairs ensure that the revised Terms of Reference for the UN-REDD Programme Secretariat are in line with the Terms of Reference for the Policy Board and report back to the Board. The Secretariat informed that the Terms of Reference for the Secretariat, the Management Group and the Strategy Group are available as an annex to the document "Management Note for Improved Delivery of the UN-REDD Programme" and can be downloaded from the UN-REDD workspace. In addition, the Board also recommended that the work on the policy paper on land tenure move forward, given that the issue is becoming increasingly relevant as countries move into phase two of REDD+.

Financial Status of the UN-REDD Programme Fund

Ms. Mari Matsumoto, Senior Portfolio Manager, Multi-Partner Trust Fund Office, UNDP, informed the Board that the *Third Consolidated Annual Progress Report on Activities Implemented under the UN-REDD Programme Fund; Report of the Administrative Agent of the UN-REDD Programme Fund for the period 1 January -31 December 2011*, prepared by the MPTF Office, was issued on 31 May 2012. This report contains the certified expenditure figures for the Fund until December 2011. In addition, Ms. Matsumoto informed that the UN Development Group has introduced new budget categories to align with International Public Sector Accounting Standards. These new budget categories will be used in submissions as well as in the 2012 annual reporting. Finally, Ms. Matsumoto confirmed the financial status of the Programme. Currently four donors, Denmark, Japan, Norway and Spain, have contributed a total net deposit to the Fund of US\$119 million. To date, the fund has allocated a total of US\$117 million. Pledges have been made by the European Union, Norway and Luxembourg (a new donor) for a total of US\$34 million.

Feedback from the Policy Board

Clarifications were sought by the Policy Board members regarding allocation and transfers, the responsibility and accountability between the UN-REDD Programme Secretariat, the Participating UN Organizations and the MPTF Office, audit mechanisms, and on programme financial and narrative reporting. An information session was held by the MPTF Office to provide further clarification on the reporting process. See Policy Board **Decision 3**.

Report back from the Working Group on the Country Needs Assessment (CNA) and on the response by the UN-REDD Programme and the FCPF

On behalf of the Working Group, consisting of Ecuador, The Philippines, Zambia, Spain, Facility Management Team (FMT) - FCPF, Global Witness, UNDP and UNPFII, Ms Linhares-Juvenal, reported on the activities of the group since the last Policy Board, including the main findings of the CNA report.

It was noted that the in-depth country reports accompanying the main report are being finalised and will be shared with the Policy Board in the coming weeks. Ms Linhares-Juvenal also presented the joint response to the CNA by the UN-REDD Programme and the FCPF.

Feedback from the Policy Board

The Policy Board recommended that the report be disseminated at country level and that further assessments of countries' needs are undertaken in the future. The Board stressed the importance of clarifying the relation between the needs reported in the CNA and the planned budget allocations for the proposed revised budget for 2013-2014, to be discussed in Session III. It was also proposed that the needs identified in the CNA be analysed in conjunction with incoming requests for targeted support. Ms. Neeta Hooda, Senior Carbon Finance Specialist, FMT of the FCPF, described the six key levels of activities related to the CNA to be supported through the Readiness Fund. See Policy Board **Decisions 4 and 5**.

Report back from the Working Group on the Roadmap to Review the Support to National REDD+ Action - Global Programme Framework Budget

On behalf of the Working Group established at the seventh Policy Board meeting, consisting of Papua New Guinea, Norway, FAO, Global Witness and UNPFII, Ms. Thais Linhares-Juvenal provided a summary of the work on the proposed roadmap to review the Support to National REDD+ Action – Global Programme Framework budget.

Feedback from the Policy Board

The Board members requested the Participating UN Organizations and the Secretariat to continue the improvement of reporting, evaluation and monitoring focused on a results-based approach, as recommended in the report. The Policy Board approved the report by the Working Group. See Policy Board **Decision 6**.

Update on FCPF

Ms. Stephanie Tam, Carbon Financial Specialist, Facility Management Team of the FCPF, gave an update on the Facility's latest developments and on the meetings of the PC, Participants Assembly and Carbon Fund. At the PC meeting in Brazzaville, two new countries presented their readiness preparation proposals, with El Salvador being allocated US\$3.6 million and Cameroon being provisionally allocated US\$3.6 million. These bring the total number of countries with approved readiness proposals to 28, out of 36 participating countries. Regarding the FCPF delivery partners, transfer agreements have now been signed between the World Bank and UNDP and the Inter-American Development Bank (IDB), enabling UNDP and the IDB to begin to provide FCPF support to selected REDD+ countries. The PC also approved the FMT's proposed additional activities to be undertaken in Fiscal Year 2013 in response to the CNA jointly undertaken by UN-REDD and FCPF. A draft monitoring and evaluation framework was also presented to the PC. Two regional dialogues with Indigenous Peoples have been held in recent months, one in Latin America and one in the Asia Pacific region, and a global dialogue is planned to take place in Doha in December. With respect to the Carbon Fund, Costa Rica became the first country to present an Emission Reduction Program Idea Note.

Session III: Implementation of National Programmes

Status of National Programmes

Ms. Clea Paz-Rivera, Programme Officer, UN-REDD Secretariat, introduced the current status of National

Programmes, along with a Policy Board document on “Means of enhancing implementation of National Programmes” for the Policy Board’s consideration.

Feedback from the Policy Board

After drawing attention to the need for a more detailed review of difficulties encountered in the implementation of National Programmes, Policy Board members adopted **Decisions 7, 8 and 9**.

Country panel: Lessons learned from implementation of National Programmes

The panel on lessons learned from implementation of National Programmes, planned to involve the Government of Cambodia, Panama and Zambia, could not take place due to time constraints. Panellists were requested to send their presentations to the Secretariat to be made available during the meeting, and posted on the website as part of the PB presentations.

Country presentations by the Democratic Republic of the Congo (DRC), Indonesia and Viet Nam

The Governments of the DRC, Indonesia and Viet Nam, as the three countries approaching completion of their National Programmes, presented the results of the National Programmes and the REDD+ readiness status in their countries.

DRC

Mr. Tosi Mpanu Mpanu, National REDD+ Coordinator, Government of the DRC, presented an analysis of the results achieved for each component of the Readiness Plan Proposal developed by the country. He highlighted lessons learnt in relation to a number of challenges encountered in the process, such as limited technical capacity at the national level, complex procedures for the disbursement of funds, and delays due to electoral processes, and also shared the country’s experience in terms of the participation of civil society and Indigenous Peoples organizations. Mr. Mpanu Mpanu concluded by outlining the next steps in the national REDD+ process, including the completion of the UN-REDD National Programme in the DRC.

Indonesia

Ms. Niken Sakuntaladewi, Forestry Research and Development Agency (FORDA), Ministry of Forestry, Government of Indonesia, reported on the progress of the UN-REDD National Joint Programme in Indonesia. She presented the results achieved under the three outcomes of the programme, focusing on multi-stakeholder consultations, REDD+ methodologies and capacity-building at district level respectively, and provided further details on the participation of civil society and Indigenous Peoples in the implementation of the programme. After presenting the status of REDD+ readiness in Indonesia, Ms. Sakuntaladewi outlined considerations related to the continuation of the national REDD+ process following the completion of the UN-REDD National Programme, including sources of support and follow up activities.

Viet Nam

Mr. Pham Cuong, Director of the Viet Nam REDD+ Office, Deputy Director, Department of Science, Technology and International Cooperation (DoSTIC) Vietnam Administration of Forestry (VNFOREST) Ministry of Agriculture and Rural Development (MARD), Government of Viet Nam, and National REDD+ Coordinator, gave an update on the status of the UN-REDD National Programme in Viet Nam.

Following an overview of the National REDD+ Action Programme recently approved by the Prime Minister of Viet Nam, Mr. Cuong presented the achievements and challenges encountered during the first phase of the UN-REDD National Programme. Among key achievements were the strengthening of national and sub-national capacities, greater stakeholder engagement and increased cooperation at the regional level. Furthermore, Mr. Cuong provided a comprehensive account of the current status of REDD+ readiness in Vietnam and shared plans to ensure the sustainability of REDD+ efforts through a second phase of the UN-REDD National Programme, along with preparations to join the FCPF Carbon Fund.

Plurinational State of Bolivia's National Programme

Ms. Paz provided the background on Plurinational State Bolivia's National Programme including a description of Bolivia's request to revise the National Programme document signed in 2010. Ms. Maria Sanz-Sánchez, Programme Coordinator, FAO, presented a summary of the report from the high-level mission to Bolivia, 6-8 June 2012, which was requested at the Eighth Policy Board meeting. This included a comparison of the National Programme and the Joint Mitigation and Adaption Mechanism for the Integral and Sustainable Management of Forests (MMA-MISB), possible scenarios for the cooperation between the UN-REDD Programme and Bolivia and mission recommendations. Mr. Pacheco Balanya, Advisor, Ministry of Foreign Affairs, Government of Bolivia, presented the proposal by the Bolivian Government for the Joint Mitigation and Adaption (JMA) Approach for the Integral and Sustainable Management of Forests; a non-market based approach. He summarised the synergies with the UN-REDD Programme, and highlighted where a reorientation of the National Programme is proposed.

Feedback from the Policy Board

The Board agreed to further discuss Bolivia's proposal and the mission findings through an informal consultation group tasked to report back with recommendations for the Policy Board's decision.

As part of the background to the informal consultation group discussions, a letter was sent to the UN-REDD Secretariat from the *Confederación de Pueblos Indígenas de Bolivia* (CIDOB), dated 25 October 2012, regarding the on-going changes to the Bolivia's National Programme. The letter stated that were not sufficient participation and consensus with regard to the on-going changes within the National Programme. In addition, Mr. Victor A. López Illescas, CSO representative for CSO-LAC, read out a statement on behalf of the representatives of Indigenous Peoples and CSO-LAC, which stressed the efforts of the Plurinational State of Bolivia in the construction of an integral mechanism, not based on the market, and urged the Bolivian Government to promote a conciliatory resolution to the statements contained in the correspondence from CIDOB.

The informal consultation group reported back to the Policy Board on the second day and recommended that the Policy Board adopt a decision to support the implementation of selected activities within Bolivia's National Programme, in line with the government's priorities and in accordance with the UN-REDD Programme's guidelines.

Bolivia was urged to engage in a constructive dialogue with all stakeholders throughout the process. The group recommended that Bolivia's National Programme contribute to the integration of all actors, practices and proposals, especially those of Indigenous Peoples and their organizations, under the principles of free, full and effective involvement of all legitimate stakeholders, proper consultation and Free, Prior and Informed Consent (FPIC).

See Policy Board **Decision 10**.

Session IV: International Support Functions

Status of Support to National REDD+ Action – Global Programme

Ms. Linhares-Juvenal presented an update on the status of Support to National REDD+ Action – Global Programme Framework 2011-2015, and the main achievements per outcome in the first six months of 2012. She highlighted the establishment of inter-agency working groups for enhancing coordination of delivery and the progress made under each outcome. She further described the improvements in reporting, which now is structured according to the various Support to National REDD+ Action categories of support: International Support Functions, Country-Specific Support and Secretariat. An update on requests and approvals of targeted support was also given.

Introduction the revised framework budget 2013-2014

Ms. Mette Løyche Wilkie, Interim Head of the UN-REDD Programme Secretariat, introduced the budget review for the Support to National REDD+ Actions – Global Programme Framework 2011-2015, for the next two years. Ms. Wilkie explained that the guidance provided by the Working Group on the Roadmap to Review the Support to National REDD+ Actions – Global Programme Framework Budget and the findings of the CNA were taken into account, along with other considerations, when preparing the budget. A thorough exercise was conducted to identify the key priorities for the next two years (2013-2014), keeping in mind the projected availability of funds. She pointed out that the budget review resulted in a 14% reduction from the amount originally requested in August 2011. Furthermore, she explained that the funding allocation request for year two (2013) was lower than the associated budget due to supplementary funding from Spain channelled directly to the agencies and some expected carry-over of funds from 2012.

Feedback from the Policy Board

The Board welcomed the updates provided and encouraged the Secretariat to consider further improvements to the reporting of the Support to National REDD+ Actions – Global Programme Framework 2011-2015. Regarding the budget proposal, the board agreed to further discuss the budget review of 2013-2014 by forming an informal consultation group to report back during Session V.

MRV, Monitoring and Information Systems

Ms. Sanz-Sánchez presented the final report on monitoring and MRV that was requested at the sixth Policy Board. The report, *“National Forest Monitoring Systems (NFMS): Monitoring and MRV in the context of REDD+ Activities”*, builds on the draft papers and presentations delivered at the seventh and Eighth Policy Board meeting. The purpose of the document is to describe: (i) the elements of NFMS as they relate to REDD+ under the UNFCCC; and (ii) the programme approach to address monitoring and Measurement, Reporting and Verification (MRV) needs of countries, taking into account the UNFCCC requirements and IPCC methodological guidance. Furthermore, Ms. Sanz-Sánchez explained that the paper outlines how to implement a NFMS whilst enabling countries to comply with the REDD+ requirements through a sustainable, stepwise approach, and how to improve performance based on a recognition of countries’ varied capabilities and national circumstances. It also recognizes that a NFMS can serve simultaneous functions: a ‘monitoring’ function and a ‘MRV’ function. The NFMS should build upon existing capacities and systems in place using free and available tools.

Feedback from the Policy Board

The Policy Board welcomed the presentation and was pleased with the clear definition of monitoring and MRV.

Multiple Benefits and Green Economy

Mr. Tim Christophersen, Senior Programme Officer, UNEP, gave a presentation providing clarifications on activities carried out under the multiple benefits and green economy work areas. He explained that although climate change mitigation is the main objective, actions under REDD+ have the potential to generate a wide range of benefits, including contributing towards biodiversity conservation, securing ecosystem services, as well as enhancing social benefits. He stressed that these multiple benefits may be key in convincing stakeholders that REDD+ is worthwhile and that relevant tools, such as maps and other spatial planning tools and remote sensing information, already exist and are being applied to enhance multiple benefits, and to improve landscape level planning. Mr. Christophersen also mentioned that the UN-REDD Programme recently launched a new policy brief, entitled *“REDD+ Beyond Carbon: Supporting Decisions on Safeguards and Multiple Benefits”* and a tool for scaling up forest finance, *“The Little Forest Finance Book”*.

Feedback from the Policy Board

The Policy Board welcomed the presentation. While recognizing that REDD+ is a climate mitigation activity, the Board highlighted the importance of multiple benefits to local livelihoods and noted the need to demonstrate how REDD+ contributes to social development. Participating UN Organizations were encouraged to cooperate closely to avoid programming overlaps for example between forest monitoring and carbon mapping. Regarding the multiple benefits tools, the Board recommended to make them user friendly and applicable, as well as to have clear documentation on how they are being applied in practice and their actual impact on the ground. The Board also acknowledged that countries need more assistance in understanding how the concept of Green Economy can inform their REDD+ readiness activities.

Gender

Ms. Silje Haugland, Programme Analyst, UNDP, presented the UN-REDD Programme’s work on gender equality and women’s empowerment in relation to REDD+. She pointed to the relevant international framework, including UNFCCC guidance on gender, and outlined the main achievements under the Programme, such as the Business Case for Mainstreaming Gender in REDD+ reports; the plans for strengthening the integration of gender considerations in the UN-REDD Programme; as well as regional collaboration and various national level work.

Following UNDP’s presentation, Ms. Albertine Ebengo, Civil Society Representative from DRC’s national thematic coordination group on gender and rural organization, *“Coordination Thématique Politique genre, organisation paysanne et locale”*, presented DRC’s work to integrate gender considerations and women’s empowerment in the National REDD+ Strategy. The representative described the process of obtaining the relevant information on gender and women’s role in relation to REDD+ in DRC, and of integrating these considerations in the REDD+ Strategy. Ms Ebengo stressed the central role of women in sustainable management of forests and the importance of including women to ensure the success of REDD+.

Feedback from the Policy Board

The Policy Board welcomed the presentations and strongly appreciated the Programme's increased focus on gender. Given women's role as primary forests users, gender analysis was considered critical in the development of REDD+ strategies, as well as ensuring full and effective participation of indigenous women, including meaningful participation in seeking FPIC. Viet Nam noted that it has included gender analysis in its readiness work. The Board also highlighted that women have unique knowledge and dependency on forests and are often among the first to be affected by forest degradation and deforestation. The Board stressed that interventions should be culturally sensitive and the most effective entry points for gender-responsive activities should be carefully considered.

Session V: Funding Allocation Requests

Update from the Working Group to support national programming efforts

Ms. Paz gave an update on the work of the Working Group to support national programming efforts re-established at the Eighth Policy Board. The members of the Working Group are Zambia, Denmark, IPAM, CORDS and UNDP. The group had considered results of the previous prioritization exercise based on the criteria approved by the Policy Board, the current status of UN-REDD Programme partner countries, as well as proposed necessary adjustments. The outcomes of these discussions are reflected in the updated document "Criteria to prioritize new National Programmes", approved inter-sessionally on 9 August 2012. Ms. Paz reported that the Policy Board also approved the application of the new criteria and the Working Group recommendation to invite Colombia to submit a National Programme Document for consideration at the ninth or tenth Policy Board meeting.

The Working Group welcomed further discussion on how to improve the application of the criteria, and incorporate suggestions for the next rating exercise, which will be carried out when funding becomes available for new National Programmes.

Feedback from the Policy Board

The Policy Board acknowledged the report by the Working Group.

Presentation of revised Support to National REDD+ Action – Global Programme Framework 2013-2014

Based on discussions by the informal consultation group involving the participation of DRC, Ecuador, Nigeria, Panama, Papua New Guinea, Vietnam, Denmark, Japan, Norway, EDF, Global Witness, UNFP, II, FAO, UNDP, UNEP and the Secretariat, Ms. Linhares-Juvenal reported back on the conclusions by the group concerning the Support to National REDD+ Action – Global Programme Framework 2011-2015 budget review. Five draft decisions were presented to the Board.

Feedback from the Policy Board

The Policy Board approved the proposed funding allocation request for 2013, together with the approval of the two year work plan and budget for 2013-2014, and made some specific recommendations as per decisions 12-15.

The Policy Board members had no objections to the alignment of the budget cycle with the reporting cycle and approved a two month no-cost extension of the year one budget up until 31 December 2012.

See Policy Board [Decisions 11-15](#).

Session VI: Strategic and Policy Issues

Report back from the Working Group on the review of the Policy Board

Ms. Løyche Wilkie reported on the activities of the working group on the review of the Policy Board established at the Eighth Policy Board. Working group members PNG, Viet Nam, Japan, Norway, FAO, CAMV, Global Witness, AMAN and UNPFII have been providing oversight to the review process.

Ms. Wilkie reported that the original timeline was extended due to delays in the recruitment process as well as concerns raised by several candidates regarding the timeline to complete the work. She noted that the Working Group had appointed Mr. Alain Lafontaine to lead the review, and that an inception report, to include a proposed workplan and process for the review, will be submitted to the Working Group by 20 November 2012. The collection of data will start in January-February 2013, with a draft report anticipated in April 2013. The final report on the review will be discussed at the tenth Policy Board meeting.

Discussion on Tier 2 and results of Information Session

Mr. Salisu Dahiru, National REDD+ Coordinator, Government of Nigeria, presented the report on the conclusions and recommendations from the Tier 2 information session held on 25 October, as per the request from the Eighth Policy Board. Mr. Dahiru mentioned that the information session revealed a general willingness within the Policy Board to support progress on the Tier 2 mechanism, as well as a strong commitment to maintain the quality of the UN-REDD brand. The need for quality standards and good governance systems in the Tier 2 mechanism was highlighted, particularly regarding transparency and accountability. The session concluded with an agreement to review the proposed Terms of Reference to reflect the outcome of the information session, subsequently undertaken by an informal consultation group, and submitted for the consideration of the Board.

Feedback from the Policy Board

Policy Board members welcomed and approved the revised Terms of Reference of the Tier 2 mechanism and proposed further work, notably on the “principles, criteria, guidelines and quality assurance measures”. The Board requested the Secretariat to provide clarification on these elements at the next Policy Board meeting. Following the procedures outlined in the approved Terms of Reference, the Tier 2 proposals by the DRC and Viet Nam were endorsed to be associated with the UN-REDD Programme subject to written confirmation from the Secretariat that both proposals are in accordance with the Tier 2 Terms of Reference. The approved Terms of Reference for Tier 2 are provided in Annex 2.

See Policy Board [Decisions 16-18](#).

Session VII: Any Other Matters, Decisions, Conclusions and Closing of Meeting

Proposal to have March meetings of the Policy Board moved to June

Ms. Paz-Rivera introduced a proposal to move the spring Policy Board meeting from March to June. The proposed change aims to ensure that the Policy Board can benefit from the final annual report of the previous year, containing certified financial information³, during the first meeting of the year.

³ The MPTF Office makes the annual report available by 31 May each year.

The Policy Board had no objections to the proposal from the Secretariat. In response to Spain's suggestion of having only one Policy Board meeting each year, the Secretariat suggested that this issue could be part of the Policy Board Review.

Scheduling of next meeting

Ms. Mette Løyche Wilkie informed the Policy Board that the FCPF's PC had decided to hold their June 2013 meeting in Indonesia. As the Board has previously decided to hold its meeting back-to-back with the FCPF meetings, the Secretariat suggested the next Board meeting be held in Indonesia, in June 2013, subject to confirmation from the Government of Indonesia. The Board had no objections.

Rotation of Donor Member Seats on the Policy Board

It was proposed that the donors continue to follow existing interim arrangements, taking into account that new donors will come on board, until the Policy Board Review report and its recommendation are made available.

Process of recruiting Head of the UN-REDD Programme Secretariat

A Policy Board member requested that the Board be consulted in the recruitment process for the Head of the UN-REDD Programme Secretariat, whilst other Board members questioned whether that would be an appropriate role of the Policy Board. In response to a question on procedures for recruiting Secretariat staff for other multi-partner trust funds, the MPTF Office representatives informed the Board that agencies are responsible for recruitment of Secretariat staff and that they were not aware of any known cases of board member involvement in the processes. A Policy Board member highlighted the UN AIDS Secretariat as an interesting and alternative precedent for consideration by the Policy Board.

Process of Identifying Country Focal Points for the UN-REDD Programme

Related to the countries' responsibility to nominate programme country focal points, the Secretariat informed that countries have been requested to formally send their nominations using the form that has been sent from the Secretariat. It was agreed that the Secretariat will share a proposal to enhance the process to nominate and update focal points.

Length of Policy Board report

A Policy Board member raised concern about the length of the Board meeting reports and suggested that the Secretariat make every effort to reduce the length of future reports and that they focus on the decisions taken.

Items for inter-sessional decision versus Policy Board meeting decision

A Policy Board member raised the need for guidance on items that can be decided upon inter-sessionally and which items are decided at Board meetings. The Secretariat suggested that this be clarified in the Policy Board Review about to commence. The Board had no objections.

Policy Board Functions in Relation to UN-REDD Governance

A Policy Board member requested that the revised Terms of Reference for the UN-REDD Programme Secretariat, the Management Group and the Strategic Group need to be reviewed in relation to the

provision of services to the Board and effective support to the Board functions. Further, the Board decided that the Policy Board Review should cover the functions and prerogatives of the Policy Board in relation to the UN-REDD governance structure.

See Policy Board **Decisions 19-23**.

Summary of decisions and conclusions

The Co-chairs read out the summary of draft decisions taken by the Board. Some minor changes were identified by the Board. The draft report, including the decisions, will be circulated for review by all participants and approval by the members.

Annex 1: List of participants

First names	Last name	Country/Organization
Dhan Bahadur	DHITAL	Bhutan
Tshering	GYELTSHEN	Bhutan
Juan Pablo	CARDOZO ARNEZ	Bolivia
Diego	PACHECO BALANZA	Bolivia
Chivin	LENG	Cambodia
Mino	RANDRIANARISON	Cameroon
Amadou	WASSOUNI	Cameroun
Joseph Armathé	AMOUGOU	Cameroun
Elizabeth Cristina	VALENZUELA CAMACHO	Colombia
Edwin Eyang	USANG	CSO Africa - NGOCE
Kanwar Muhammad Javed	IQBAL	CSO Asia Pacific - SDPI
Víctor	A.LÓPEZ ILLESCAS	CSO LAC - AFCG UT'Z CHE'
Albertine	EBENGO	CSO, Democratic Republic of the Congo
Tosi	MPANU MPANU	Democratic Republic of the Congo
Brigitte	EKALA-EALE	Democratic Republic of the Congo
Vincent	KASULU SEYA MAKONGA	Democratic Republic of the Congo
Peter Aarup	IVERSEN	Denmark
Adeline	DONTENVILLE	EFI/European Commission
Carola	BORJA	Ecuador
Aulikki	KAUPILLA	European Commission
Emilie Christina	GORANSSON	European Commission
Dieudonné	KOGUIYAGDA	FAO
Eduardo	MANSUR	FAO
Tiina	VAHANEN	FAO
Maria	SANZ-SANCHEZ	FAO
Emeline Françoise Jeanne	CHENEY	FAO
Neeta	HOODA	FCPF FMT
Stephanie	TAM	FCPF FMT
Peter	SAILE	FCPF FMT
Aurore	VIARD-CRETAT	France
Yaw	KWAKYE	Ghana
Alain	LAFONTAINE	Independent Consultant
Gisela Monika	ULLOA VARGAS	Independent Consultant
Niken	SAKUNTALADEWI	Indonesia
Christopher	W.MEYER	Industrialized Countries/ Northern CSO Observer
Rick	JACOBSEN	Industrialized Countries/ Northern CSO Observer
Lekumok Lemeria	KIRONYI	IP Africa- CORDS
Isumbiso Pacifique	MUKUMBA	IP Africa -CORDS

Pasang	DOLMA SHERPA	IP Asia Pacific - NEFIN
Gerardo Antonio	JUMI TAPIAS	IP LAC - CAOI
Marcel	YAO	Ivory Coast
Rikiya	KONISHI	Japan
Alfred	GICHU	Kenya
Emilio Ndwiga	MUGO	Kenya
Bisrat	AKLILU	MPTF Office
Mari	MATSUMOTO	MPTF Office
Odigha	ODIGHA	Nigeria
Salisu	DAHIRU	Nigeria
Gry-Asp	SOLSTAD	Norway
Andreas	TVETERAAS	Norway
Alexis	BAULES AGUILAR	Panama
Carlos Alberto	GOMEZ MARTINEZ	Panama
Rensie Xhira Bado	PANDA	Papua New Guinea
Federica	BIETTA	Papua New Guinea
Kevin	CONRAD	Papua New Guinea
Myrian Stella	LEIVA DE LARREA	Paraguay
Henri	DJOMBO	Republic of the Congo
Georges	BOUDZANGA	Republic of the Congo
Donatien	NZALA	Republic of the Congo
Accel Arnaud	NDINGA-MAKANDA	Republic of the Congo
Rosalie	MATONDO	Republic of the Congo
Maixent	HANIMBAT	Republic of the Congo
Gervais	ITSOUA MADZOUS	Republic of the Congo
Guy	MOUSSELE DISSEKE	Republic of the Congo
Axia	GIDOL	Republic of the Congo
Kapupo	DIWA MUTIMANWA	Republic of the Congo
Jaden	TONGUN EMILIO	South Sudan
Juna	PEÑA FERNANDEZ	Spain
Somaya Omer	MAGZOUB ABDOUN	Sudan
Sayeda Ali	AHMED KHALIL	Sudan
Mey	ELTAYEB AHMED MOHAMED	Sudan
Veerle	VANDEWEERD	UNDP
Charles	MCNEILL	UNDP
Tim	CLAIRS	UNDP
Joseph	GARI	UNDP
Fabien	MONTEILS	UNDP
Estelle	FACH	UNDP
Siljie	HAUGLAND	UNDP
Anne	MARTINUSSEN	UNDP
Gaya	SRISKANTHAN	UNDP
Solange	BANDIAKY	UNDP Gender Team
Elizabeth Ruth	EGGERTS	UNDP Gender Team
Martin	DRAMANI	UNDP
Jean-Felix	ISSANG	UNDP Republic of the Congo

Sylver	IKAMA	UNDP Republic of the Congo
Robenson	LOUSSAKOU	UNDP Republic of the Congo
Destin	KOUETOUPA	UNDP Republic of the Congo
Muriel	MOUANDZA	UNDP Republic of the Congo
Ibrahim	THIAW	UNEP
Tim	CHRISTOPHERSEN	UNEP
Mario	BOCCUCCI	UNEP
Thomas	SEMBRES	UNEP
Julie	GREENWALT	UNEP
John Erik	PRYDZ	UNEP
Iain Robert	HENDERSON	UNEP
Wojciech Seweryn	GALINSKI	UNFCCC Secretariat
Simon William	M'VIBOUDOULOU	UNPFII
Mette	LOYCHE WILKIE	UN-REDD Programme Secretariat
Thais	LINHARES-JUVENAL	UN-REDD Programme Secretariat
Clea	PAZ	UN-REDD Programme Secretariat
Helena	ERIKSSON	UN-REDD Programme Secretariat
Cheryl	ROSEBUSH	UN-REDD Programme Secretariat
Sharon	McAUSLAN	UN-REDD Programme Secretariat
Pham	CUONG	Viet Nam
Davis	KASHOLE	Zambia

Annex 2: Tier 2 Terms of Reference

1. Background

The UN-REDD Programme assists developing countries to build capacity to reduce emissions from deforestation and forest degradation; and the role of conservation, sustainable management of forests and enhancement of forest carbon stocks in developing countries (REDD+). The Programme supports nationally-led REDD+ processes and promotes the informed and meaningful involvement of all stakeholders, including Indigenous Peoples and other forest-dependent communities.

The UN-REDD Programme Strategy 2011-2015, endorsed as a living document at the fifth Policy Board meeting in October 2011, proposes a new modality for associating activities funded outside the existing UN-REDD Programme Fund with the UN-REDD Programme, called "Tier 2".

The Tier 2 modality is intended to enable countries to benefit from the expertise of the UN agencies' UN-REDD Programme team to ensure the quality standards of activities funded from sources beyond the UN-REDD Programme Fund, and to provide greater flexibility of funding arrangements.

2. Criteria

To be eligible for Tier 2, the association with the UN-REDD Programme will be requested by the country or by a group of countries, together with one or more UN-REDD Programme Agencies, including for global activities, and the proposal should:

- be supported by one or more donors
- be implemented in partnership with one or more UN-REDD Programme agencies (FAO, UNDP, UNEP)
- advance the objectives of the UN-REDD Programme
- be consistent with the UN-REDD Programme principles, criteria, guidelines and quality assurance measures, including the Social and Environmental Principles and Criteria
- contribute to learning opportunities for the UN-REDD Programme.

3. Responsibilities

REDD+ Countries:

- initiate the Tier 2 association (for national and regional activities)
- confirm partnership with one or more donors
- invite one or more UN agencies to partner in implementation
- lead the implementation of the Tier 2 activity at the national and regional levels
- present updates on implementation of Tier 2 activities to the Policy Board.

Donors:

- commit to provide financial support in agreement with the requesting country.

UN-REDD Programme Agencies:

- assess whether proposed activities meet the above Tier 2 criteria
- provide clearance of the Tier 2 proposal and forward it to the Policy Board through the UN-REDD Programme Secretariat

- ensure that the UN-REDD Programme quality assurance measures are properly applied throughout implementation
- support resource mobilization for Tier 2 activities
- ensure, through the Management Group, that Tier 2 activities are coordinated and coherent with the UN-REDD Programme objectives and approach
- for global Tier 2 activities, initiate and lead the implementation.

UN-REDD Programme Secretariat:

- coordinate the process for reviewing draft proposals
- develop and maintain a registry of Tier 2 activities in the Voluntary REDD+ Database
- provide regular consolidated updates on Tier 2 activities to the UN-REDD Programme Policy Board
- support resource mobilization efforts for Tier 2 activities
- facilitate information sharing on Tier 2 activities among stakeholders.

Policy Board:

- endorse the association of the proposed Tier 2 activities with the UN-REDD Programme at a Policy Board meeting, with an option for inter-sessional endorsement to be followed by a presentation at the subsequent Policy Board
- create opportunities to share lessons with, and learn from, Tier 2 activities.